## PCT

#### WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 7:

G06K 19/07

(11) International Publication Number:

WO 00/70552

A1 (43) International Publication Date: 23 November 2000 (23.11.00)

(21) International Application Number:

PCT/US99/29827

(22) International Filing Date:

15 December 1999 (15.12.99)

(30) Priority Data:

60/134,460

17 May 1999 (17.05.99)

US

(71) Applicant (for all designated States except US): GOODYEAR TIRE & RUBBER COMPANY [US/US]; 1144 East Market Street, Akron, OH 44316-0001 (US).

(72) Inventor; and

(75) Inventor/Applicant (for US only): YONES, Dale, Lee [US/US]; 5610 Arapahoe Road, Apt. 133, Boulder, CO 80303 (US).

(74) Agent: COHN, Howard, M.; c/o Robert W. Brown, Dept. 823, The Goodyear Tire & Rubber Co., 1144 East Market Street, Akron, OH 44309-3531 (US).

(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

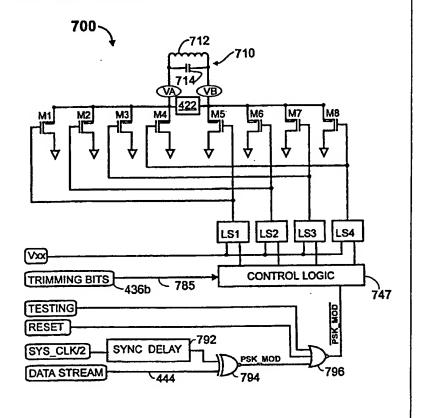
**Published** 

With international search report.

(54) Title: PROGRAMMABLE MODULATION INDEX FOR TRANSPONDER

#### (57) Abstract

A variable modulation index for a transponder (102, 200, 400) capable of measuring one or more parameters (e.g., temperature, pressure) in an object (e.g., a tire, (104)) and transmitting a data stream (Figures 3C, 4B) to an external reader/interrogator (106). The transponder typically operates in a passive mode, deriving its power (Vxx, Vcc, Vdd) from an RF interrogation signal received by an antenna system (210, 410, 710), but can also operate in a battery-powered active mode. The transponder includes memory (238, 438) for storing measurements, calibration data, programmable trim settings (436b), transponder ID and the like. Data stream transmission is preferably accomplished by PSK modulation (700) of the received RF signal, wherein the magnitude of modulation (index) is programmably varied in binary steps according to the programmed trim settings, and dynamically varied according to the level of input power in order to optimize the transmission. The transmitted signal is cleaned of noise by synchronizing a clock signal with the data stream signal.



## FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

	AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
	AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
	AT	Austria	FR	France	LU	Luxembourg	SN	Scnegal
	AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
	AZ	Azerbaijan	GB	United Kingdom	MC	Мопасо	TD	Chad
	BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
	BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
	BE	Belgium	GN	Guinea	MK	The former Yugoslav	TM	Turkmenistan
	BF	Burkina Faso	GR	Greece		Republic of Macedonia	TR	Turkey
	BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
	BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
	BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
	BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
	CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
	CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
	CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
	CH	Switzerland	KG	Kyrgyzstan	NO	Norway	zw	Zimbabwe
	CI	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand		
	CM	Cameroon		Republic of Korea	PL	Poland		
	CN	China	KR	Republic of Korea	PT	Portugal		
	CU	Cuba	KZ	Kazakstan	RO	Romania		
	CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
l	DE	Germany	LI	Liechtenstein	SD	Sudan		
ı	DK	Denmark	LK	Sri Lanka	SE	Sweden		
ı	EE	Estonia	LR	Liberia	SG	Singapore		

## PROGRAMMABLE MODULATION INDEX FOR TRANSPONDER

5

10

### TECHNICAL FIELD OF THE INVENTION

The present invention relates to monitoring pressure and temperature and, more particularly in conjunction with transponders associated with the tires for transmitting pressure and temperature measurements to an external (e.g., on-board) receiver (reader, or reader/interrogator).

## BACKGROUND OF THE INVENTION

20

15

Safe, efficient and economical operation of a motor vehicle depends, to a significant degree, on maintaining correct air pressure in all (each) of the tires of the motor vehicle. Operating the vehicle with low tire pressure may result in excessive tire wear, steering difficulties, poor road-handling, and poor gasoline mileage, all of which are exacerbated when the tire pressure goes to zero in the case of a "flat" tire.

25

30

The need to monitor tire pressure when the tire is in use is highlighted in the context of "run-flat" (driven deflated) tires, tires which are capable of being used in a completely deflated condition. Such run-flat tires, as disclosed for example in commonly-owned USP 5,368,082, incorporated in its entirety by reference herein, may incorporate reinforced sidewalls, mechanisms for securing the tire bead to the rim, and a non-pneumatic tire (donut) within the pneumatic tire to enable a driver to maintain control over the vehicle after a catastrophic pressure loss, and are evolving to the point where it is becoming less and less noticeable to the driver that the tire has become deflated. The broad murpose behind using run-flat tires is to enable a driver of a vehicle to continue driving on a deflated pneumatic tire for a limited distance (e.g., 50 miles, or 80 kilometers) prior to getting the tire repaired,

10

15

20

25

30

2

rather than stopping on the side of the road to repair the deflated tire. Hence, it is generally desirable to provide a low tire pressure warning system within in the vehicle to alert (e.g., via a light or a buzzer) the driver to the loss of air pressure in a pneumatic tire.

To this end, a number of electronic devices and systems are known for monitoring the pressure of pneumatic tires, and providing the operator of the vehicle with either an indication of the current tire pressure or alerting the operator when the pressure has dropped below a predetermined threshold level.

For example, USP 4,578,992 (Galasko, et al; 04/86), incorporated in its entirety herein, discloses a tire pressure indicating device including a coil and a pressure-sensitive capacitor forming a passive oscillatory circuit having a natural resonant frequency which varies with tire pressure due to changes caused to the capacitance value of the capacitor. The circuit is energized by pulses supplied by a coil positioned outside the tire and secured to the vehicle, and the natural frequency of the passive oscillatory circuit is detected. The natural frequency of the coil/capacitor circuit is indicative of the pressure on the pressure-sensitive capacitor.

It is also known to monitor tire pressure with an electronic device which is not merely a passive resonant circuit, but rather is capable of transmitting a radio frequency (RF) signal indicative of the tire pressure to a remotely-located receiver. Such a "transmitting device" may have its own power supply and may be activated only when the pressure drops below a predetermined threshold. Alternatively, the transmitting device may be activated ("turned ON") by an RF signal from the remotely-located receiver, in which case the receiver is considered to be an "interrogator". Additionally, the transmitting device may be powered by an RF signal from the interrogator. Additionally, the electronic device which monitors the tire pressure may have the capability of receiving information from the interrogator, in which case the electronic device is referred to as a "transponder".

As used herein, a "transponder" is an electronic device capable of receiving and transmitting radio frequency signals, and impressing variable information (data) in a suitable format upon the transmitted signal indicative of a measured condition (e.g., tire pressure) or conditions (e.g., tire pressure, temperature, revolutions), as well as optionally impressing fixed information (e.g., tire ID) on the transmitted signal, as well as optionally responding to information which may be present on the received signal. The typical condition of

WO 00/70552

5

10

15

20

25

30

paramount interest for pneumatic tires is tire pressure. "Passive" transponders are transponders powered by the energy of a signal received from the interrogator. "Active" transponders are transponders having their own power supply (e.g., a battery), and include active transponders which remain in a "sleep" mode, using minimal power, until "woken up" by a signal from an interrogator, or by an internal periodic timer, or by an attached device. As used herein, the term "tag" refers either to a transponder having transmitting and receiving capability, or to a device that has only transmitting capability. Generally, tags which are transponders are preferred in the system of the present invention. As used herein, the term "tire-pressure monitoring system" (TPMS) indicates an overall system comprising tags within the tires and a receiver which may be an interrogator disposed within the vehicle.

It is known to mount a tag, and associated condition sensor (e.g., pressure sensor) within each tire of a vehicle, and to collect information from each of these transponders with a common single interrogator (or receiver), and to alert a driver of the vehicle to a low tire pressure condition requiring correction (e.g., replacing the tire). For example, USP 5,540,092 (Handfield, et al.; 1996), incorporated in its entirety by reference herein, discloses a system and method for monitoring a pneumatic tire. Figure 1 therein illustrates a pneumatic tire monitoring system (20) comprising a transponder (22) and a receiving unit (24).

Examples of RF transponders suitable for installation in a pneumatic tire are disclosed in U.S. Patent No. 5,451,959 (Schuermann; 09/95), U.S. Patent No. 5,661,651 (Geschke, et al.; 08/97), and U.S. Patent No. 5,581,023 (Handfield, et al.; 12/96), all incorporated in their entirety by reference herein. The described transponder systems include interrogation units, pressure sensors and/or temperature sensors associated with the transponder, and various techniques for establishing the identity of the tire/transponder in multiple transponder systems. In most cases, such transponders require battery power.

In some instances, a transponder may be implemented as an integrated circuit (IC) chip. Typically, the IC chip and other components are mounted and/or connected to a substrate such as a printed circuit board (PCB).

Some proposed systems have relatively complex transponder-sensor capabilities, including measurement and reporting of tire rotations and speed, along with tire ID,

temperature, and pressure. For example: USP 5,562,787 (Koch, et al.; 1996), and USP 5,731,754 (Lee, Jr., et al.; 1998), incorporated in their entirety by reference herein.

# TRANSPONDER ENVIRONMENTAL CONSIDERATIONS

5

10

15

20

25

30

The environment within which a tire-mounted transponder must reliably operate, including during manufacture and in use, presents numerous challenges to the successful operation of the transducer. For example, the sensors (e.g., pressure, temperature) used with the transponder preferably will have an operating temperature range of up to 125°C, and should be able to withstand a manufacturing temperature of approximately 177°C. For truck tire applications, the pressure sensor must have an operating pressure range of from about 50 psi to about 120 psi (from about 345 kPa to about 827 kPa), and should be able to withstand pressure during manufacture of the tire of up to about 400 psi (about 2759 kPa). The accuracy, including the sum of all contributors to its inaccuracy, should be on the order of plus or minus 3% of full scale. Repeatability and stability of the pressure signal should fall within a specified accuracy range.

However it is implemented, a tire transponder (tag) must therefore be able to operate reliably despite a wide range of pressures and temperatures. Additionally, a tire transponder must be able to withstand significant mechanical shocks such as may be encountered when a vehicle drives over a speed bump or a pothole.

A device which can be used to indicate if a transponder or the tire has been exposed to excessive, potentially damaging temperatures is the "MTMS" device or Maximum Temperature Memory Switch developed by. Prof. Mehran Mehregany of Case Western Reserve University. It is a micro-machined silicon device that switches to a closed state at a certain high-temperature point. The sensor switches from an "open" high resistance state of, for example, over 1 mega-ohm to a "closed" low resistance state of, for example, less than 100 ohm.

Although it is generally well known to use pressure transducers in pneumatic tires, in association with electronic circuitry for transmitting pressure data, these pressure-data systems for tires have been plagued by difficulties inherent in the tire environment. Such difficulties include effectively and reliably coupling RF signals into and out of the tire, the rugged use the tire and electronic components are subjected to, as well as the possibility of deleterious effects on the tire from incorporation of the pressure transducer and electronics

WO 00/70552

in a tire/wheel system. In the context of "passive" RF transponders which are powered by an external reader/interrogator, another problem is generating predictable and stable voltage levels within the transponder so that the circuitry within the transponder can perform to its design specification.

5

Suitable pressure transducers for use with a tire-mounted transponder include:

(a) piezoelectric transducers;

5

10

15

20

25

30

- (b) piezoresistive devices, such as are disclosed in USP 3,893,228 (George, et al.: 1975) and in USP 4,317,216 (Gragg, Jr.; 1982);
- (c) silicon capacitive pressure transducers, such as are disclosed in USP 4,701,826 (Mikkor; 1987), USP 5,528,452 (Ko; 1996), USP 5,706,565 (Sparks, et al.; 1998), and PCT/US99/16140 (Ko, et al.; filed July 7, 1999);
  - (d) devices formed of a variable-conductive laminate of conductance ink; and
  - (e) devices formed of a variable-conductance elastomeric composition.

## THE EFFECT OF TEMPERATURE ON GAS PRESSURE

In a broad sense, for a mass of any gas in a state of thermal equilibrium, pressure P. temperature T, and volume V can readily be measured. For low enough values of the density, experiment shows that (1) for a given mass of gas held at a constant temperature, the pressure is inversely proportional to the volume (Boyle's law), and (2) for a given mass of gas held at a constant pressure, the volume is directly proportional to the temperature (law of Charles and Gay-Lussac). This leads to the "equation of state" of an ideal gas, or the "ideal gas law":

 $PV = \mu RT$ 

where:

 $\mu$  is the mass of the gas in moles; and

R is a constant associated with the gas.

Thus, for a contained (fixed) volume of gas, such as air contained within a pneumatic tire, an increase in temperature (T) will manifest itself as an increase in pressure **(P)**.

Because of the ideal gas law relationship, it is recognized that in the context of pneumatic tires, one problem that arises during operation of tire pressure sensors of any kind is that tires heat up as they are run for longer periods of time. When a tire heats up, air

which is confined within the essentially constant and closed volume of the tire expands, thus causing increased pressure within the tire, though the overall amount of air within the tire remains the same. Since the pressure nominally is different, a tire pressure sensor can provide different pressure readings when a tire is hot than would be the case if the tire were cold. This is why tire and vehicle manufacturers recommend that owners check their tire pressure when the tire is cold. Of course, with a remote tire pressure sensor, an operator may receive a continuous indication of tire pressure within the vehicle, but the indication may be inaccurate because of the temperature change. Thus, it is necessary to compensate for changes in temperature of the inflating medium ("gas" or air) within the pneumatic tire.

Patents dealing in one way or another with gas law effects in pneumatic tires include: USP 3,596,509 (Raffelli; 1971), USP 4,335,283 (Migrin; 1982), USP 4,126,772

(Pappas, et al.; 1978), USP 4,909,074 (Gerresheim, et al.; 1990), USP 5,050,110 (Rott; 1991), USP 5,230,243 (Reinecke; 1993), USP 4,966,034 (Bock, et al.; 1990), USP 5,140,851 (Hettrich, et al.; 1992), USP 4,567,459 (Folger, et al.; 1986), all of which are

incorporated in their entirety by reference herein.

5

10

15

20

25

30

USP 4,893,110 (Hebert; 1990), incorporated in its entirety by reference herein, discloses a tire monitoring device using pressure and temperature measurements to detect anomalies. As mentioned therein, a ratio of temperature and pressure provides a first approximation of a number of moles of gas in the tire, which should remain constant barring a leak of inflation fluid from the tire. (column 1, lines 18-26). More particularly, on each wheel are installed sensors (4) for pressure and sensors (6) for temperature of the tire, as well as elements (8 and 10) for transmitting the measured values as coded signals to a computer (12) on board the vehicle, such as disclosed in the aforementioned USP 4,703,650. The computer processes the measured values for pressure and temperature for each tire, and estimates for the pressure/temperature ratio (P/T estimate) are calculated for each wheel. Generally, the ratio for one of the tires is compared with the ratio for at least another one of the tires, and an alarm is output when a result (N) of the comparison deviates from a predetermined range of values.

# TECHNIQUES FOR TRANSMITTING PRESSURE AND TEMPERATURE READINGS FROM A TIRE

Given that pressure and temperature conditions within a pneumatic tire can both be

7

measured, various techniques have been proposed to transmit signals indicative of the measured pressure and temperature conditions to an external interrogator/receiver. For example, the following patents are incorporated in their entirety by reference herein:

- transmit the signals individually, distinguished by phase displacements: USP 4,174,515 (Marzolf; 1979);

5

10

15

20

25

30

- multiplex the signals: USP 5,285,189 (Nowicki, et al.; 1994), USP 5,297,424 (Sackett; 1994);
- encoding the signals as separate segments of a data word: USP 5,231,872 (Bowler, et al.; 1993), and USP 4,695,823 (Vernon; 1987) which also incorporates both the telemetry and the pressure and/or temperature sensors on the same integrated circuit chip;
- transmission between coils mounted on the wheel and on the vehicle: USP 4,567,459 (Folger, et al.; 1986);
  - use a frequency-shift key (FSK) signal: USP 5,228,337 (Sharpe, et al.; 1993);
- backscatter-modulate the RF signal from the interrogator with the tire condition parameter data from the sensors, then return the backscatter modulated signal to the interrogator: USP 5,731,754 (Lee, Jr., et al.; 1998).

USP 4,703,650 (Dosjoub, et al.; 1987), incorporated in its entirety by reference herein, discloses a circuit for coding the value of two variables measured in a tire, and a device for monitoring tires employing such a circuit. The coding circuit comprises an astable multivibrator which transforms the measurement of the variables, for instance pressure and temperature, into a time measurement. The astable multivibrator delivers a pulse signal whose pulse width is a function of the temperature and the cyclic ratio of which is a function of the pressure.

USP 5,054,315 (Dosjoub; 1991), incorporated in its entirety by reference herein, discloses a technique for coding the value of several quantities measured in a tire. As disclosed therein:

"Coding of the value of any number of quantities measured in a tire, for example its pressure and its temperature, is carried out using a ratio of time intervals TP/Tr, Tt/Tr. This frees the device from the effect of the time shift of the modulation system, the time shift affecting simultaneously the numerator and the denominator of said ratio." (Abstract)

### SUMMARY OF THE INVENTION

5

10

15

20

25

30

According to an aspect of the invention, a radio-frequency (RF) transponder comprises circuitry capable of transmitting information unique to an object with which the transponder is associated to an external reader/interrogator. Additionally, one or more transponder sensors (transducers) provide real-time parameter measurement at the transducer location. These measurements are transmitted to the external reader/interrogator, in the form of data in a data stream, on a signal which is output by the transponder, such as by impressing (modulating) the data stream onto an RF signal transmitted by the transponder to the external reader/interrogator.

8

PCT/US99/29827

According to an aspect of the invention, typically two real-time parameters are measured: pressure and temperature. Pressure is preferably measured by a separate ("off-chip") pressure sensor, which is of a type that varies its capacitance value in a known way, such as a polynomial, or preferably as a substantially linear function of ambient pressure. Preferably, the temperature sensor is embedded ("on-chip") in the IC chip of the transponder and disposed so as to be subject to substantially the same ambient temperature as the pressure sensor so that a true, temperature-compensated pressure can readily be calculated.

The RF signal transmission is typically produced by modulating the RF signal received by a transponder antenna system. According to an aspect of the invention, the RF modulation is achieved by modulating a load across the transponder antenna system.

According to a feature of the invention, the magnitude of the modulation load is set according to programmable trim settings, stored in the transponder (e.g., in an EEPROM), which are set to optimize the signal modulation for the individual transponder properties and for the expected transponder operating conditions. This programmable load comprises a plurality of first transistors, a corresponding plurality of second transistors, and control logic for connecting selected ones of the first and second transistors to the antenna system. The transistors can be selected so that the variation is accomplished in binary steps.

According to a feature of the invention, a power supply and level shifters are connected to the modulating load, wherein voltage changes of the power supply dynamically vary the magnitude of the programmable load in proportion to power available in the transponder. Alternatively, the power supply and level shifters are connected to the programmable load, thereby combining the dynamic and the programmable load variation.

WO 00/70552

According to a feature of the invention, the RF signal modulation is controlled by a phase-shift-keyed signal, formed in a gate by logically combining a system clock signal and the data stream. A circuit is provided for delaying the system clock signal in order to synchronize the system clock signal with the data stream. The modulation signal is blocked by a power-on reset signal, thereby preventing any modulation of the antenna system if the power derived from the RF signal received by the antenna system is too low to provide transponder power adequate for stable transponder operation including RF signal modulation.

9

Other objects, aspects, features and advantages of the invention will become apparent from the description that follows.

# BRIEF DESCRIPTION OF THE DRAWINGS

5

10

15

20

25

30

Reference will be made in detail to preferred embodiments of the invention, examples of which are illustrated in the accompanying drawings. The drawings are intended to be illustrative, not limiting. Although the invention will be described in the context of these preferred embodiments, it should be understood that it is not intended to limit the spirit and scope of the invention to these particular embodiments.

Certain elements in selected ones of the drawings may be illustrated not-to-scale, for illustrative clarity.

Often, similar elements throughout the drawings may be referred to by similar references numerals. For example, the element 199 in a figure (or embodiment) may be similar in many respects to the element 299 in an other figure (or embodiment). Such a relationship, if any, between similar elements in different figures or embodiments will become apparent throughout the specification, including, if applicable, in the claims and abstract.

In some cases, similar elements may be referred to with similar numbers in a single drawing. For example, a plurality of elements 199 may be referred to as 199a, 199b, 199c, etc.

The cross-sectional views, if any, presented herein may be in the form of "slices", or "near-sighted" cross-sectional views, omitting certain background lines which would otherwise be visible in a true cross-sectional view, for illustrative clarity.

The structure, operation, and advantages of the present preferred embodiment of the

invention will become further apparent upon consideration of the following description taken in conjunction with the accompanying drawings, wherein:

Figure 1 is a generalized diagram of an RF transponder system comprising an external reader/interrogator and an RF transponder within a pneumatic tire, according to the prior art;

Figure 2 is a block diagram of major components of an RF transponder, according to a previous model of the invention;

Figure 3 is a schematic diagram of major portions of the RF transponder of Figure 2, according to a previous model of the invention;

Figure 3A is a schematic diagram of a portion of the RF transponder of Figure 2, according to a previous model of the invention;

Figure 3B is a schematic diagram of a portion of the RF transponder of Figure 2, according to a previous model of the invention;

Figure 3C is a diagram of a memory space within the RF transponder of Figure 2, illustrating how data may be arranged and transmitted, according to a previous model of the invention;

Figure 3D is a plot of transponder readings versus transponder power for the RF transponder of Figure 2, according to a previous model of the invention;

Figure 4A is a block diagram of major components of an RF transponder, according to the invention;

Figure 4B is a diagram of a memory space within the RF transponder of Figure 4A, illustrating how data may be arranged and transmitted, according to the invention;

Figure 5 is a schematic diagram of a variable modulation portion of the RF transponder of Figure 4A, according to the invention; and

Figure 5A is a schematic diagram of an exemplary circuit in a control logic portion of the circuit of Figure 5, according to the invention.

## DETAILED DESCRIPTION OF THE INVENTION

5

10

15

20

25

30

As mentioned hereinabove, it is an aspect of the present invention to provide a system for monitoring vehicle tire pressure and warning the driver when a low tire inflation pressure condition occurs.

Figure 1 illustrates an RF transponder system 100 of the prior art, comprising an RF

10

15

20

25

30

(radio frequency) transponder 102 disposed within (e.g., mounted to an inner surface of) a pneumatic tire 104. (An antenna, not shown, is mounted within the tire 104 and is connected to the transponder 102.) The transponder 102 is an electronic device, capable of transmitting an RF signal comprising unique identification (ID) information (e.g., its own serial number, or an identifying number of the object with which it is associated -in this example, the tire 104) as well as data indicative of a parameter measurement such as ambient pressure sensed by a sensor (not shown) associated with the transponder 102 to an external reader/interrogator 106. The external reader/interrogator 106 provides an RF signal for interrogating the transponder 102, and includes a wand 108 having an antenna 110, a display panel 112 for displaying information transmitted by/from the transponder 102, and controls (switches, buttons, knobs, etc.) 114 for a user to manipulate the functions of the reader/interrogator 106. Although shown as a hand-held device, the reader/interrogator may be an electronic unit mounted in a vehicle (not shown). The present invention is directed primarily to implementing an RF transponder.

As is known, the ID and/or parameter measurement information may be encoded (impressed) in a variety of ways on the signal transmitted by the transponder 102 to the reader/interrogator 106, and subsequently "de-coded" (retrieved) in the reader/interrogator 106 for display to the user. The RF transponder 102 may be "passive", in that it is powered by an RF signal generated by the external reader/interrogator 106 and emitted by the antenna 108. Alternatively, the RF transponder 102 may be "active", in that it is battery-powered. Transponder systems such as the transponder system 100 described herein are well known.

Commonly-owned, copending PCT Patent Application No. PCT/US98/07338 filed 14 April 1998 by Pollack, Brown, Black, and Yones, incorporated in its entirety by reference herein, discloses a transponder, particularly a "passive" transponder which derives its operating power from an external radio frequency (RF) source, and which is associated with a pneumatic tire for use in tire identification and transmission of pressure and/or temperature data.

The aforementioned patent application PCT/US98/07338 discloses a transponder which is a previous model (model number "3070C") of the transponder of the present invention. Since the present invention involves commonalities with, as well as

10

15

20

25

30

improvements upon the previous model, relevant portions of the previous model will be described hereinbelow, with reference to Figures 2, 3, 3A, 3B and 3C.

Figure 2 is a block diagram of the model 3070C RF transponder 200 (compare 102), illustrating the major functional components thereof. This exemplary system is described as an embodiment which preferably measures pressure and temperature, but it is within the scope of the invention to include measurement of other parameters which employ suitable sensors.

The transponder 200 is preferably implemented on a single integrated circuit (IC) chip shown within the dashed line 202, to which are connected a number of external components. Other dashed lines in the figure indicate major functional "blocks" of the transponder 200, and include a transponder "core" 204 and a sensor interface 206. The components external to the IC chip 202 include an antenna system 210 comprising an antenna 212 and typically a capacitor 214 connected across the antenna 212 to form an L-C resonant tank circuit, an external precision resistor (Rext) 216, an external pressure-sensing capacitor (C<sub>p</sub>) 218, and an optional external maximum temperature measurement switch (MTMS) 220. The antenna 212 may be in the form of a coil antenna, a loop antenna, a dipole antenna, and the like. Alternatively, the signal output by the transponder may be provided on a transmission line. For some of these antenna embodiments (e.g., a loop antenna), the capacitor 214 may be omitted since it would not be of benefit in tuning such an antenna system. In the main hereinafter, a transponder having a coil antenna is described.

The pressure-sensing capacitor  $C_p$  is preferably a rugged, low temperature coefficient, sensor with a capacitance versus pressure response having good sensitivity and linearity in the pressure range of interest. An example is an all-silicon "touch mode" capacitive pressure sensor such as are known in the art, and mentioned hereinabove.

The transponder core 204 includes interface circuitry 222 for processing an RF signal, such as a 125 kHz (kiloHertz) un-modulated carrier signal received by the antenna 212, for rectifying the received RF signal, and for providing voltages for powering other circuits on the IC chip 202. For example, the interface circuitry provides a regulated supply voltage (Vdd) of 2.5 volts, and a temperature-independent bandgap voltage (Vbg) of 1.32 volts. The provision of various supply and reference voltages for the transponder circuitry are described in greater detail hereinbelow, with reference to Figure 3B. The interface

10

15

20

25

30

circuitry 222 also provides the received RF signal, preferably at the input frequency (Fi) it is received, to a clock generator circuit 224 which generates clock signals in a known manner for controlling the timing of other circuits on the IC chip 202, as well as the output frequency (Fc) of a signal which is transmitted by the transponder 200 to the external reader/interrogator (e.g., 106).

13

A timing generator/sequencer circuit 226 receives the clock pulses from the clock generator circuit 224 and processes (e.g., divides) the clock pulses to generate timing windows ( $W_T$  and  $W_P$ , described hereinbelow) for predetermined periods of time ( $t_T$  and  $t_P$ , respectively) during which parameter (e.g., temperature and pressure) measurements are made. The timing windows  $W_T$  and  $W_P$  may either be of substantially equal duration or of unequal duration. The timing generator/sequencer circuit 226 also controls the timing and sequence of various functions (e.g., pressure measurement and capture, temperature measurement and capture, described in greater detail hereinbelow) performed in the sensor interface 206, and is preferably implemented as an algorithmic state machine (ASM).

The transponder core 204 further includes a register/counter circuit 230 which includes a temperature register 232 (e.g., 12-bit) and a pressure register 234 (e.g., 12-bit) for capturing and storing temperature and pressure measurements (counts), respectively, and a block 236 of addressable memory (e.g., 120-bit), which includes an EEPROM array. The registers 232 and 234 and EEPROM array 236 are shown in a dashed line 238 representing a block of addressable memory on the IC chip 202.

The register/counter circuit 230 also includes a multiplexer and column decoder 240, as well as a row decoder 242 for controlling the sequence in which signals (i.e., data) are output on a line 244 to a modulation circuit 246 which, via the interface circuitry 222, communicates selected measured tire operating characteristics in a data stream via the antenna system 210 to an external reader/interrogator (e.g., 106).

The transponder core 204 also includes a baud rate generator 248 which controls the rate at which modulating information (e.g., the temperature or pressure measurement) is applied to the modulation circuit 246. The baud rate generator 248 also provides a data carrier clock controlling the output frequency Fc of the transponder and a data rate clock controlling a rate at which the data stream including measurements, calibration information, identification, etc. is modulated onto the transponder 200 output carrier signal.

10

15

20

25

30

The sensor interface 206 includes a circuit 250 for generating an output current I(T)/N on a line 251 which is related to a predictable characteristic voltage of a temperaturesensitive component (e.g., Vbe of a transistor Q1, described hereinbelow) which is superimposed on the external resistor (Rext) 216. The output current I(T)/N on the line 251 is provided to a relaxation oscillator 252. In general terms, the relaxation oscillator 252 oscillates at a frequency controlled by a rate of voltage change (dV/dT) which is a function of the output current I(T)/N on line 251 and of internal capacitances  $C_{\text{FXI}}$ ,  $C_{\text{FX2}}$  associated with the relaxation oscillator 252 as well as an external capacitance (C<sub>P</sub>) 218 that can be switched into the oscillator circuit. An output signal Fosc' from the relaxation oscillator 252 is provided on a line 253 which, as will be explained in greater detail hereinbelow, is indicative of both ambient temperature and ambient pressure. As used herein, the term "ambient" refers to the parameter being measured in the vicinity of the transponder 200, or more particularly in the vicinity of the respective sensors associated with the transponder 200. When the transponder 200, 102 is mounted within a pneumatic tire (e.g., 104), "ambient pressure" and "ambient temperature" refer to the pressure and temperature of the inflation medium (e.g., air) within the tire 104.

In operation, an RF signal from an external source (i.e., reader/interrogator, not shown, compare 106) is received by the antenna 212. This RF signal is rectified and used to power the RF transponder 200. Modulating information applied to the modulation circuit 246 is used to alter characteristics of the antenna system 210 (e.g., impedance, resonant frequency, etc.). These alterations are sensed by the external reader/interrogator 106 and are decoded, providing communication of temperature and pressure information back from the RF transponder 200 to the external reader/interrogator 106.

The timing generator/sequencer circuit 226 controls when the external pressure-sensing capacitance ( $C_P$ ) 218 is included in the generation of a signal at frequency Fosc' which is output by the relaxation oscillator 252, and also controls the capturing of the pressure and temperature counts via the data capture circuit 254. For example, to measure temperature, the temperature-sensitive current I(T) passes through the internal oscillator capacitors ( $C_{FX1}$  and  $C_{FX2}$ ), but the pressure-sensing capacitor ( $C_P$ ) 218 is disconnected from (not included in) those capacitances. This means that the frequency Fosc' of the oscillator output signal seen on line 253 is a function of temperature alone. When the pressure-

5

10

15

20

25

30

sensing capacitor (C<sub>P</sub>) 218 is "switched in", then the output frequency Fosc' of the oscillator 252 on the line 253 will, as explained in greater detail hereinbelow, be a function of both pressure and temperature. As described in greater detail hereinbelow, an algorithm is employed in the reader/interrogator 106 to extract a "pressure-only" reading from the pressure-temperature measurement.

15

It should be noted that references made herein to "pressure readings", "pressure counts", "pressure response", "pressure register" and the like generally refer to "pressure" as measured by this transponder technique which actually produces a hybrid pressure-temperature reading. When this hybrid reading has been processed to remove its temperature component, the reading will be referred to as a "pressure-only" reading.

As controlled by the timing generator/sequencer circuit 226, the data capture circuit 254 directs the relaxation oscillator output signal Fosc' either to the temperature register 232 via line 255 or to the pressure register 234 via line 257, depending upon whether temperature or pressure is being measured. Counters convert the oscillator frequency Fosc' into counts which are stored in the registers 232, 234. The timing "window" provided by the timing generator/sequencer circuit 226 has a known, controlled duration. As a result, the count remaining in (captured by) the respective temperature or pressure register (232, 234 respectively) when the timing window "closes" is a function of (proportional to) the oscillation frequency Fosc' of the relaxation oscillator 252, and therefore a function of temperature or pressure, whichever is being measured during that timing window.

The EEPROM array 236 is used to hold calibration constants that the reader/interrogator (e.g., 106) uses to convert temperature and pressure counts ( $N_T$  and  $N_P$ , respectively, described in greater detail hereinbelow) into temperature and pressure readings which can be displayed (e.g., via display 112) to a user. The EEPROM array 236 can also store the ID of the transponder, calibration data for the transponder, and other data particular to the given transponder.

Figure 3 is a more-detailed schematic diagram 300 of several of the components of the transponder 200 of Figure 2, primarily those components described hereinabove with respect to the sensor interface section 206 of Figure 2.

In this schematic diagram 300, conventional circuit symbols are employed. For example, lines which cross over one another are not connected to one another, unless there

10

15

20

25

30

16

is a "dot" at their junction (cross-over), in which case the lines are connected with one another. Conventional symbols are employed for transistors, diodes, ground connections, resistors, capacitors, switches, comparators, inverters, and logic gates (e.g., "AND", "NAND", "OR", "NOR").

The circuit is described in terms of a CMOS embodiment, wherein "P" followed by a number (e.g., "P1") indicates a PMOS (P-channel) transistor and "N" followed by a number (e.g., "N1") indicates an NMOS (N-channel) transistor. CMOS transistors are of the FET (field effect transistor) type, each having three "nodes" or "terminals" - namely, a "source" (S), a "drain" (D), and a "gate" (G) controlling the flow of current between the source and the drain. In the description that follows, it will be evident that a number of the PMOS and NMOS transistors are "diode-connected", meaning that their drain (D) is connected to their gate (G). The general theory of operation of transistors, particularly CMOS transistors, is well-known to those having ordinary skill in the art to which the present invention most nearly pertains.

As will be evident from the description that follows, a number of the CMOS transistors are connected in a "current-mirroring" configuration. The concept of currentmirroring is well known, and in its simplest form comprises two similar polarity transistors (e.g., two PMOS transistors) having their gates connected with one another, and one of the pair of transistors being diode-connected. Current-mirroring generally involves causing a current to flow through the diode-connected transistor, which results in a gate voltage on the diode-connected transistor required to produce that current. Generally, the gate voltage of the diode-connected transistor is forced to become whatever voltage is necessary to produce the mirrored current through that transistor. Since the diode-connected transistor, by definition, has no gate current, by applying the gate voltage of the diode-connected transistor to any other identically-connected transistor, a mirrored-current will flow through the identically-connected transistor. Typically, the current-mirroring transistors all have the same physical area, in which case the mirrored current will be essentially the same as the current which is being mirrored. It is also known to produce a mirrored current which is either greater than or less than the current being mirrored by making one of the transistors physically larger or smaller (in area) than the other. When such identically-connected transistors having different areas are connected in a current-mirroring configuration, their

10

15

20

25

30

17

PCT/US99/29827

scaled (larger or smaller) areas will produce correspondingly scaled (larger or smaller) currents.

In the main hereinafter, the numerous connections between the various components of the circuit are clearly illustrated in the figure, and the descriptive emphasis is on the various functions of and interactions between the various components of the circuit rather than on reciting (ad nauseam) each and every individual connection between the various components, all of which are explicitly illustrated in the figure.

The antenna system 210 comprises a coil antenna 212 and an optional capacitor 214 (connected across the antenna 212 to form an L-C resonant tank circuit) providing an alternating current (AC) output to a full-wave rectifier circuit 302.

The full-wave rectifier circuit 302 (compare 222) comprises two PMOS transistors and two diodes, connected in a conventional manner, as shown, and outputs a full wave rectified direct current (DC) voltage on a line 303. A capacitor 304 is connected between the line 303 and ground to "smooth out" (filter) variations ("ripple") in the full wave rectified DC voltage on the line 303. The voltage on the line 303 thus becomes a usable voltage for the remaining components of the transponder - in this case, a positive supply voltage Vcc on the line 303.

A temperature-sensing circuit 306, corresponding approximately to the base-emitter voltage-to-current converter 250 of Figure 2, is connected between the line 303 (Vcc) and ground, and includes four CMOS transistors labeled P1, P2, N1 and N2 and a lateral bipolar transistor labeled Q1, and is connected to the external resistor 216 (Rext). The transistors P2 and N1 are diode-connected, as illustrated. The two transistors P1 and P2 are connected in a current-mirroring configuration, and the two transistors N1 and N2 are also connected in what can generally be considered to be a current-mirroring configuration. The source (S) of the transistor N1 is connected via the transistor Q1 to ground, and the source of the transistor N2 is connected via the external resistor (Rext) 216 to ground.

As will become evident, the ability of the temperature-sensing circuit 306 to produce a signal (i.e., a current) that is proportional to a sensed ambient temperature (e.g., within the tire with which the transponder is associated) is largely dependent on the characteristic that the base-emitter voltage of the transistor Q1 is a highly predictable and repeatable function of temperature. The resistor (Rext) 216 is an external, precision, reference

resistor, whose value is substantially independent of temperature (as contrasted with the temperature dependency of the transistor Q1). A suitable value for the resistor (Rext) 216 is, for example, 20.5 kilohms or 455 kilohms.

The transistor N2 is connected between the transistor P2 and the external resistor 216 (Rext) in a "source-follower" mode. As a voltage is impressed on the gate (G) of the transistor N2, its source voltage will "follow" its gate voltage (minus an inherent voltage drop (Vgs) between its gate and its source).

5

10

15

20

25

30

As current flows through the transistor N1, its gate voltage will be offset by its gate-source voltage drop (Vgs) above the emitter voltage at the transistor Q1. Since the transistors N1 and N2 are essentially identical, with the same current flowing through each of the two transistors N1 and N2, they will have identical gate-source voltage drops (Vgs). As a result, the voltage at the source of the transistor N2 across the external resistor 216 (Rext) will be essentially identical to the voltage at the emitter of the transistor Q1. Hence, applying Ohm's law (E=IR, or I=E/R), the current through the external resistor 216 (Rext) will equal the emitter voltage of the transistor Q1 divided by the resistance of the external resistor 216 (Rext).

In normal operation, all of the current flowing through the external resistor (Rext) 216 flows through the source of the transistor N2 and, consequently, through the diodeconnected transistor P2. By a current-mirroring connection, the current through the transistor P2 is replicated (mirrored) in the transistor P1. This ensures that the current flowing through the transistors N1 and N2 will be the same, at all times, which further helps to ensure that the emitter voltage at the transistor Q1 and the voltage across the external resistor (Rext) 216 are identical, independent of voltage and process variations. As mentioned hereinabove, the transistors N1 and N2 are connected in what can generally be considered to be a current-mirroring configuration. However, since they are not strictly identically connected, their function in the circuit 306 is principally for "matching" Q1 and Rext.

In essence, the circuit 306 ensures that the current I(T) flowing through the external resistor (Rext) is predictable, and is a function of the absolute temperature (T) of the transistor Q1. As described in greater detail hereinbelow, this temperature-dependent current I(T) flowing through the external resistor (Rext) 216 is mirrored to a relaxation

10

15

20

25

30

oscillator (312, described hereinbelow) to provide a signal indicative of the temperature of the transistor Q1 to the external reader (106, Figure 1). As described in greater detail hereinbelow, the output frequency Fosc' of the relaxation oscillator 312 will be a function of the absolute temperature (T) of the transistor Q1.

At this point, it is useful to note that it is essentially the transistor Q1 that is being employed as the temperature-sensing element of the overall transponder circuit. The transponder circuit advantageously employs an inherent characteristic of such a transistor implemented in CMOS technology that the base-emitter voltage of the transistor Q1 will vary by a predictable amount of -2.2 mv/°C (millivolts per degree Celsius).

It should be noted that the transponder of the present invention is described in terms of a "passive" device, relying on RF energy being supplied to it by an external source (106, Figure 1) to power up its circuitry. However, it is within the scope of this invention that the transponder contains its own power supply, such as in the form of a battery. In either case, when first powering up circuitry such as described with respect to the temperature-sensing circuit 306, it is important to ensure that they "ramp up" to their normal operating state from their quiescent state in a reliable and predictable (controlled) manner. To this end, two lines 305 and 307 are illustrated which are connected between the temperature-sensing circuit 306 and a "startup" circuit 308.

The startup circuit 308 (also part of the base-emitter voltage-to-current converter 250 of Figure 2) is connected between the supply voltage (Vcc) on the line 303 and ground, and serves two main purposes: (i) to get current flowing in the temperature-sensing circuit 306 when the transponder (200) first starts up from a powered down state; and (ii) to mirror and convert the current flowing through the transistor P2 from a supply-referenced current to a ground-referenced current.

Startup is initiated by the transistor P3. The transistor P3 is fabricated to have high channel resistance so as to function in a "weak pull-up" mode. With its gate connected to ground, it will always be "on", and will behave essentially like a resistor having a high resistance (e.g., > 1 mega-ohm).

Since, at startup, no current flows elsewhere in the circuit, the transistor P3 operates to pull the gate of the transistor N3 towards the supply voltage (Vcc), thereby turning the transistor N3 "on", which effectively connects the grounded source or transistor N3 to its

10

15

20

25

30

WO 00/70552 PCT/US99/29827

drain (D) which, in turn, grounds the gates of transistors P1, P2, and P4, and also grounds the drain of diode-connected transistor P2. This causes current to flow through the transistor P2 of the temperature-sensing circuit 306 into the drain of the transistor N3. Since the transistors P1, P2 and P4 are current-mirror connected (via the "Pbias" line 305), the current now flowing through transistor P2 will be mirrored in the transistors P1 and P4. As current flows through the transistor P4 into the diode-connected transistor N5, a current-mirroring connection between the transistors N4 and N5 causes a corresponding current to flow through the transistor N4, thereby pulling the gate of transistor N3 to ground, thereby effectively shutting "off" the flow of current through the transistor N3.

20

However, with current now flowing through the current-mirrored transistors P1, P2 and P4, current flowing from the transistor P1 through the diode-connected transistor N1 into the transistor Q1 forces the temperature-sensing circuit 306 to "start up" in its stable operating point state (rather than its zero current state). After startup, the transistor N3 essentially "drops out" of the circuit, having performed its intended function.

The transistor N5 is connected in a current-mirroring configuration with the transistor N4 (and, as described hereinbelow, with the transistor N6). Therefore, essentially, with a current equivalent to the current through the external resistor (Rext) 216 flowing through the transistor N5, the same current flows through the transistor N4, thereby establishing a reference voltage (Nbias) on the line 309. The reference voltage (Nbias) on the line 309, as well as a supply voltage (Vdd) on a line 309', are provided to a current scaling circuit 310.

The supply voltage (Vdd) on the line 309' is provided in any suitable manner, such as a multiple of a bandgap voltage (Vbg) generated in a conventional manner elsewhere on the chip, and its magnitude (e.g., 1.32 volts) should be independent of temperature, such as inherent to the silicon process which is employed in making the chip. The provision of such a stable (e.g., bandgap) voltage (e.g., Vbg) and the supply voltage (e.g., Vdd) derived therefrom is well within the purview of one having ordinary skill in the art to which the present invention most nearly pertains, and is described in greater detail hereinbelow with respect to Figure 3B.

The current scaling circuit 310 (also part of the base-emitter voltage-to-current converter 250 of Figure 2) is constructed in the following exemplary manner. The sources

10

15

20

25

30

of the transistors P5 and P6 are connected to supply voltage Vdd. The gate of a transistor N6 receives the reference voltage (Nbias) on the line 309. The transistor N6 is connected in a current-mirroring configuration with the transistor N5 (as well as with the aforementioned transistor N4) and will therefore mirror the flow of current I(T) through the transistors N4 and N5. Consequently, the flow of current through the diode-connected transistor P5 will mirror the flow of current through the transistors N4. N5 and N6.

21

The transistors P5 and P6 are connected in a current-mirroring configuration, but are fabricated (using conventional CMOS fabrication techniques) such that current flowing through the transistor P6 is scaled up or down by a ratio (N) of the physical area of the transistor P5 to the physical area of the transistor P6. For example, if the transistor P6 is smaller in size than the transistor P5 (i.e., the transistor P5 is "N" times larger in area than the transistor P6), then the current flowing through the transistor P6 will be commensurately (N times) smaller than the current flowing through the transistor P5. Thus, the "scaled" current flowing through the transistor P6, is labeled "I(T)/N" in the figure, and is provided on a line 311 (compare 251) to a relaxation oscillator circuit 312 (compare 252). It is well known that the ratio of the currents between the transistors P5 and P6 can readily be established by conventional circuit processing techniques, such as by simply making one of the transistors larger than the other, or by implementing a one of the two transistors as the aggregate of two or more same-size transistors so that their aggregate area is larger than the area of the other of the two transistors.

The relaxation oscillator circuit 312 (compare 252) is of fairly conventional design, and includes a measurement switching circuit 315 at the "front end" of a set-reset circuit 314 comprising two phase paths 314a, 314b. This circuit 315 comprises a pair of complementary transistors P7 and N7 connected to a charged side of a measurement capacitor  $C_{FX1}$  at the front end of a one phase path ( $\phi$ 1) 314a; and another pair of complementary transistors P8 and N8 connected to a charged side of another measurement capacitor  $C_{FX2}$ , plus a switch 350 to add another measurement capacitor  $C_P$ , all at the front end of another phase path ( $\phi$ 2) 314b.

Connected as illustrated, for a given pair of transistors (e.g., P7 and N7), when their common gate voltage is high (i.e., towards positive supply) their output (e.g., to phase path 314a) will be grounded (connected to ground and isolated from current I(T)/N on line 311),

5

10

15

20

25

30

and when their common gate voltage is low, their output will provide the current I(T)/N flowing on the line 311 to a respective one of the phase paths (e.g., 314a) of the relaxation oscillator 312. As is known for circuitry such as the relaxation oscillator 312, when the common gate voltage of a one of the pairs of transistors (e.g., P7 and N7) is high, the common gate voltage of the other of the pairs of transistors (e.g., P8 and N8) will be low, and vice-versa. In this manner, each phase path 314a and 314b has a duty cycle (i.e., its "on" time), which may be the same as or may be different than the duty cycle of the other phase path 314b and 314a, respectively. Thus, each pair of transistors (e.g., P7 and N7) may be considered to be an "input switch" to its respective phase path (e.g., 314a).

22

Each phase path 314a and 314b of the relaxation oscillator 312 has a comparator 316a and 316b, respectively, at its input, and has a fixed-value capacitor  $C_{FX1}$  and  $C_{FX2}$ , respectively, connected between the negative (-) input of the comparators 316a and 316b and ground. The capacitors  $C_{FX1}$  and  $C_{FX2}$  have exemplary capacitance values of 2-5 pf (picofarads) and 2-5 pf, respectively, and are preferably implemented as equal-valued "on-chip" devices, such as poly-to-poly capacitors exhibiting a low temperature coefficient (e.g., less than 20ppm). The positive (+) inputs (terminals) of the comparators 316a and 316b are tied together and are set to a reference threshold voltage Vbg, such as 1.32 volts, which is independent of temperature.

A "NOR" logic gate 318a and 318b is connected at the output of each phase path 314a and 314b, respectively, and the two NOR gates 318a and 318b are cross-connected to form a latching circuit having an output on a line 319a and 319b. The cross-connected NOR gates 318a and 318b are thus capable of functioning as a flip flop, or an RS (re-set/set) latch.

When the common gate voltage of one of the input switches (e.g., P7 and N7) is high, the respective capacitor (e.g.,  $C_{FX1}$ ) for that phase path (e.g., 314a) is grounded (shorted out, caused to be devoid of charge). Conversely, when the common gate voltage of one of the input switches (e.g., P7 and N7) is low, the scaled current I(T)/N from line 311 is applied to (allowed to flow into) the respective capacitor (e.g.,  $C_{FX1}$ ) for that phase path (e.g., 314a), and the capacitor begins to charge (acquire an increasing voltage across the capacitor). When the voltage across the capacitor  $C_{FX1}/C_{FX2}$  reaches the comparator reference voltage Vbg the output of the comparator 316a/316b goes low and changes the state of the output of the latch 318a/318b on the line 319a/319b. In this manner, the

10

15

20

25

30

relaxation oscillator 312 will oscillate at a frequency Fosc determined by the rise time of the capacitors  $C_{FX1}$  and  $C_{FX2}$  and, importantly, by the scaled current I(T)/N being supplied to the capacitors  $C_{FX1}$  and  $C_{FX2}$ . With greater current I(T)/N being supplied, the voltages of the capacitors  $C_{FX1}$  and  $C_{FX2}$  will rise faster, crossing the threshold voltage faster, and causing the relaxation oscillator 312 to oscillate faster, thereby increasing the frequency Fosc of the signal on the line 319a. The signal on the line 319a is inverted by an inverter 320, as shown, to provide a signal of frequency Fosc' on the line 321.

23

PCT/US99/29827

As described in greater detail hereinbelow, the oscillator 312 is controlled to run in two mutually-exclusive modes, a temperature-sensing mode (between times t0 and t1) and a pressure-sensing mode (between times t1 and t2), as controlled by the timing generator/sequencer 226. The frequency of the oscillator output signal Fosc (and Fosc') will be different in each of these two modes.

# GENERATING TEMPERATURE AND PRESSURE READINGS

In the exemplary context of the transponder 200 being associated with a pneumatic tire, it is principally desirable to determine the pressure within the pneumatic tire. For example, a typical passenger vehicle tire may be properly inflated at about 32 psi (about 221 kPa). Since tire inflation pressures are normally specified as "cold" pressures (pressure measured when the tire is not heated by operation), and since a monitoring device will be reporting pressures measured in tires which are most likely in use and therefore "hot", it is secondarily desirable to determine the temperature of the inflation medium (e.g., air) within the pneumatic tire. Utilizing the temperature measurement, a monitoring system (e.g., 106) can, for example, convert the measured pressure to a "cold" pressure with simple calculations based on the ideal gas law (PV =  $\mu$ RT). This "cold" pressure could be considered a "temperature-independent" pressure, which is also an indication of the mass of air contained by the tire. With reference to the transponder 200, the hybrid "pressure" measurement it produces must be converted (by different calculations detailed hereinbelow) to a true pressure-only measurement before it can be used in such gas-law calculations.

It is, for example, estimated that an approximate 10% decrease in fuel consumption could be realized if the pneumatic tires on vehicles were operated at their specified pressure. Although vehicle fleet operators are typically sensitive to this issue, and check and adjust tire pressure frequently, the average operator of a passenger vehicle is often less inclined to

5

10

15

20

25

30

24

keep an eye on their tire pressure until, for example, the tire is visibly flattened out. In such cases, an LCD (liquid crystal display) readout or the like on the dashboard of a car could provide dynamic tire inflation information to the operator of a vehicle, the pneumatic tires of which are equipped with a transponder such as the one described herein. Of no less significance is the emergence of "run-flat" tires being marketed by various tire manufacturers. The Goodyear EMT (extended mobility technology) series of tires is an example of a "run-flat" tire, an overall purpose of which is to allow a driver to travel up to 50 miles (approximately 120 kilometers) on a deflated ("flat") tire, at "reasonable" operating speeds (e.g., 60 miles per hour, or 144 kilometers per hour), while maintaining normal control over the vehicle. Such run-flat tires are generally well known, and do not form a portion of the present invention, per se. When running "flat" on a run-flat tire, it is particularly important that the driver be alerted to the fact that he or she is operating the vehicle on "borrowed time" as indicated, principally, by an indication, whether visual or audio (e.g., a beep) that the tire is indeed "flat" and needs to be repaired or replaced at his or her earliest convenience (and before the run-flat mileage limit).

By allowing the relaxation oscillator 312 to run, the frequency of its output signal Fosc (and Fosc') will be a function of the absolute temperature of (sensed by) the transistor Q1. This is true in both the temperature-sensing mode and the pressure-sensing mode of operation.

In the temperature-sensing mode, and in the case that the capacitance values for  $C_{FX1}$  and  $C_{FX2}$  are equal, which is preferred, the relaxation oscillator 312 will have a symmetrical (balanced, 50%) duty cycle. In the pressure-sensing mode, the pressure-sensing capacitor  $(C_P)$  218 is switched by a semiconductor switch 350 across  $C_{FX2}$ , which changes the duty cycle and output frequency Fosc (and Fosc') of the relaxation oscillator 312.

In the temperature-sensing mode, only the fixed capacitors  $C_{FX1}$  and  $C_{FX2}$  are being alternately charged (and discharged) resulting in a 50% duty cycle with a period proportional to ambient temperature. In the pressure-sensing mode, the pressure-sensing capacitor ( $C_P$ ) 218 is switched into phase path 314b of the oscillator 312. Thus, for a given temperature, for the first half of the oscillator period the phase path 314a behaves in the same manner as in the temperature-sensing mode, and for the second half of the oscillator period the phase path 314b behaves in a manner that is proportional to the capacitance value

10

15

20

25

30

of the fixed capacitor  $C_{FX2}$  plus the capacitance value of the pressure-sensing capacitor  $(C_P)$  218. This, in effect, slows down the oscillator and changes its duty cycle. The change in the duty cycle is indicative of the ratio of  $C_P$  to  $C_{FX2}$ . Thus, from the ratio of the two periods (with and without  $C_P$  in the circuit, it is straightforward to calculate what the additional capacitance  $C_P$  is, hence the sensed pressure. As described in greater detail hereinbelow, the temperature-dependency of the oscillator output in the pressure-sensing mode can be completely eliminated, in a straightforward manner.

25

The "slowing down" of the oscillator when the pressure-sensing capacitor (C<sub>P</sub>) 218 is switched into the oscillator circuit results, inevitably, in there being relatively fewer oscillator output pulses (reduced output frequency) to count during a given pressuremeasurement window (e.g., W<sub>P</sub>) than during a similar duration temperature-measurement window (e.g., W<sub>T</sub>). In other words, a "slowed-down" oscillator will reduce that rate at which counts indicative of the parameter measurement are collected. In order to increase the resolution (quantity) of the counts (N<sub>P</sub>) generated during the pressure-measurement window (W<sub>p</sub>), it is contemplated that the pressure-measurement window (W<sub>p</sub>) can be increased in size (changed in duration) so as to allow for the capture of an appropriate number of pressure counts in the pressure register 234. This can readily be accomplished simply by establishing a larger (than otherwise) value for the time 12 which establishes the end of the pressure-measurement window (W<sub>p</sub>) in the pressure-sensing mode (between times t1 and t2), as controlled by the timing generator/sequencer 226. For example, the temperature-measurement window W<sub>T</sub> (between times t0 and t1) can be on the order of several ones (e.g., eight) of milliseconds, and the pressure-measurement window W<sub>P</sub> can be on the order of tens or dozens (e.g., eighty) of milliseconds. Alternatively, it is contemplated that the scaled current (I(T)/N) flowing out of the current scaling circuit 310 to the relaxation oscillator 312 could be increased during the pressure-measurement window (W<sub>P</sub>) to increase the fundamental frequency of the relaxation oscillator 312, thereby increasing the overall resolution of the pressure count. This can readily be accomplished, for example in the case of transistor P6 being smaller in size (area) than the transistor P5, simply by switching in a transistor P6' (not shown) in lieu of the transistor P6, the transistor P6' having a larger area than the transistor P6 so that the ratio of the areas of the transistors P5 and P6 is closer to unity (i.e., less scaled down) and the current to the relaxation

10

15

20

25

30

oscillator 312, hence its counting rate, is increased. Such switching in of another transistor P6' is readily effected with a switch (not shown) comparable to the aforementioned switch 350 which switches in the pressure-sensing capacitor (C<sub>P</sub>) 218. One having ordinary skill in the art to which the present invention most nearly pertains will readily understand how to offset the "slowing down" of the oscillator when the pressure-sensing capacitor (C<sub>P</sub>) 218 is switched into the oscillator circuit, in light of the teachings presented herein.

26

## **OPTIMIZING PRESSURE-RESPONSIVENESS**

Obtaining (and displaying) an accurate pressure reading being of paramount importance when monitoring the pressure of a pneumatic tire, certain parameters of the transponder circuit may be established to maximize its pressure-responsiveness and therefore improve the accuracy of the pressure reading displayed by the external reader/interrogator (e.g., 106).

As described hereinabove, the transponder responds to the changing capacitance of the pressure sensor  $(C_p)$  218 by changing the value of a binary 12-bit word that is transmitted to the external reader/interrogator 106. This binary word is the count of an oscillator frequency during a timing window  $W_p$  (between t1 and t2) established by the timing generator/sequencer 226. The pressure response can therefore be described as the change in counts per unit change in capacitance of the pressure-sensing capacitor  $(C_p)$  218.

Pressure-responsiveness (and resolution) of the transponder has been found to be dependent on a number of factors, each of which can be analyzed. For example, it has been determined that:

- (a) Increasing the pressure-measurement window  $W_p$  to make it larger than the temperature-measurement window  $W_t$  will increase the pressure count  $N_p$  (and not the temperature count  $N_T$ ) for a given value of the pressure-sensing capacitor ( $C_p$ ) 218, to make up for the relatively lower oscillator frequency which occurs during pressure measurement compared to temperature measurement (as detailed hereinabove).
- (b) Increasing the scaled current I(T)/N to the oscillator 312 will proportionally increase the pressure count  $N_P$  for a given value of the pressure-sensing capacitor ( $C_P$ ) 218.
- (c) Decreasing the values for capacitor(s)  $C_{FX1}$  and/or  $C_{FX2}$  will proportionally increase the pressure count  $N_P$  for a given value of the pressure-sensing capacitor  $(C_P)$  218.
  - (d) Increasing the scaled current I(T)/N to the oscillator will proportionally increase

the pressure count  $N_P$  (for a given value of  $C_P$ ) at a greater rate than decreasing the values for capacitors  $C_{EX1}$  and  $C_{EX2}$ .

27

- (e) Increasing the scaled current I(T)/N will increase both the pressure counts  $N_p$  and the temperature counts  $N_T$  unless the current increase can be made to occur only during the pressure-measurement window  $W_p$ .
- (f) Decreasing the values for capacitor(s)  $C_{FX1}$  and/or  $C_{FX2}$  will increase both the pressure counts  $N_P$  and the temperature counts  $N_T$  even if only one of the capacitors is changed.

As a general proposition, increasing the pressure counts  $N_P$  is desirable. However, one having ordinary skill in the art to which the present invention most nearly pertains will readily appreciate that there is a practical upper limit to increasing the pressure counts at a frequency which may become unacceptably large for the capability of certain circuits of the IC chip.

### **MEASURING PARAMETERS**

5

10

15

20

25

30

Figure 3A illustrates the components involved in the final step of capturing temperature and pressure measurements in the transponder. The signal Fosc' output by the relaxation oscillator 312 is provided on line 321 (compare 253) to an input of each of two AND gates 360 and 362 in the data capture circuit 254. A signal ("Capture Temp") is provided by the timing generator/sequencer 226 to the other input of the AND gate 360 during the temperature-sensing window ( $W_T$ ) so as to load the temperature register 232 via line 255 with the count ("data," or "reading")  $N_T$  indicative of measured temperature. Another data signal ("Capture Press") is provided by the timing generator/sequencer 226 to the other input of the AND gate 362 during the pressure-sensing window ( $W_P$ ) so as to load the pressure register 234 with the count ("data," or "reading")  $N_P$  indicative of measured pressure. Each of the registers 232, 234 has a counter (not shown) associated with it to convert the incoming oscillating signal Fosc' to a stored count. The two counts  $N_T$ ,  $N_P$  are then shifted out of the registers 232 and 234, via the MUX 240, to the modulation circuit 246 described hereinabove.

When the transponder is powered up, temperature and pressure are continuously measured, and these measurements are transmitted back to the external reader/interrogator 106 as data words in a data stream. For example, each of the temperature and pressure

10

15

20

25

30

parameters can be transmitted back to the reader/interrogator 106 as 12-bit data words in selected (known) portions of a larger (e.g., 144-bit) data stream. One bit in the overall data stream may be dedicated to the state (e.g., "closed" or "open") of the MTMS switch 220. A complete description of an exemplary data stream being transmitted by the transponder to the external reader/interrogator is set forth hereinbelow with reference to Figure 3C.

28

Temperature is suitably measured by counting the number of cycles output from the oscillator 312 during a fixed time period (window  $W_T$  of time from t0 to t1) having a time period  $t_T$ . For example, a down-counter (not shown, but associated with the temperature register 232) may be clocked by the oscillator, such that at the end of the window  $W_T$  time period  $t_T$ , a temperature count  $N_T$  is generated. The relationship between temperature count  $N_T$  and temperature is substantially linear for the circuitry 300 of this embodiment.

Similarly, pressure can be measured by counting the number of cycles output from the oscillator 312 during a fixed time period (window  $W_p$  of time from t1 to t2) having a time period  $t_p$ . For example, a down-counter (not shown, but associated with the pressure register 234) may be clocked by the oscillator, such that at the end of the window  $W_p$  time period  $t_p$ , a temperature count  $N_p$  is generated. The relationship between pressure count  $N_p$  and pressure is a predictable function of both actual pressure and temperature for the circuitry 300 of this embodiment. As explained hereinbelow, by manipulating the temperature and "pressure" counts  $(N_T$  and  $N_p$ ) this hybrid pressure-temperature value can be used to determine a pressure-only value.

# OBTAINING A PRESSURE-ONLY READING AT THE READER/INTERROGATOR

The fundamental frequency of the oscillator 312 is set by parameters in the IC chip (e.g., 202) and, as described hereinabove, is temperature-dependent. Therefore, the pressure response N<sub>P</sub> is a function (hybrid) of both temperature and pressure, and the relationship of N<sub>P</sub> to C<sub>P</sub> is nonlinear. Therefore, using a linear equation for calculating the pressure response would inevitably lead to significant errors over a range of pressures being measured. However, for limited ranges of pressures being measured, for example over a 20 psi (138 kPa) range of pressures, using a linear equation may be acceptable. A better approximation might be obtained using a polynomial equation, but this would complicate the reader/interrogator logic, making for slower response, and would require additional

WO 00/70552

calibration constants.

5

10

15

20

25

30

An important advantage of using the transponder circuitry described hereinabove is that the relationship of  $N_T/N_P$  to pressure sensor capacitance  $C_P$  is linear, and requires no temperature compensation term in the equation (algorithm) used by the reader/interrogator 106 to calculate pressure, thereby greatly simplifying the design of the reader/interrogator. (This also assumes the use of a pressure sensor  $(C_P)$  218 which has a substantially linear relationship between pressure and capacitance.) This beneficial "ratiometric" relationship is readily demonstrated by the following equations:

29

Generally,

count = counting window time (t) \* frequency (F)

F = 1/period

Charging time = V \* C / I

for a capacitor with capacitance C to be charged to a voltage V with a current I.

Since the period of the relaxation oscillator 312 with output signal of frequency Fosc' is the sum of the charging times for the capacitances in the two phase paths 314a and 314b, the above equations can be manipulated to obtain a general equation for the count from such a relaxation oscillator with capacitances  $C_{\text{FXI}}$  and  $C_{\text{FXI}}$ , for example:

count = 
$$t/(V*C_{FX1}/I + V*C_{FX2}/I) = t*I/(V*(C_{FX1} + C_{FX2}))$$

Substituting the values for the temperature and pressure counts:

$$\begin{split} N_T &= (t_T * I(T)/n_T)/(Vbg * (C_{FX1} + C_{FX2})) \\ N_P &= (t_P * I(T)/n_P)/(Vbg * (C_{FX1} + C_{FX2} + C_P)) \end{split}$$
 [EQ. A]

where  $n_T$  and  $n_P$  are values for the scaling factor N in the scaled current I(T)/N which could be different during the temperature and pressure measurement windows, respectively.

Dividing equations to obtain N<sub>T</sub>/N<sub>D</sub>:

$$N_T/N_P = (t_T/t_P)*(n_P/n_T) * (C_{FX1} + C_{FX2} + C_P)/(C_{FX1} + C_{FX2})$$

or

$$N_T/N_P = (t_T/t_P)*(n_P/n_T)*(1 + (C_P/(C_{FX1}+C_{FX2}))$$
 [EQ. B]

Since everything to the right of the equals sign is a defined constant except for the pressuresensing capacitance  $C_P$ , it can be seen that there is a linear relationship between  $N_T/N_P$  and  $C_P$  (and thus pressure). This means that  $N_T/N_P$  is only a function of pressure, and is

insensitive to temperature or capacitor-charging current variations.

If none of the response optimization steps described hereinabove have been utilized, then the equation EQ. B can be simplified since capacitors  $C_{FX1}$  and  $C_{FX2}$  have the same value  $C_{FX}$ ; the measurement windows  $W_T$  and  $W_P$  have the same time width  $t_T = t_P = t_W$ (e.g., 8.192 ms); and the current scaling factors  $n_T$  and  $n_P$  have the same value N:

30

$$N_T/N_P = 1 + (C_P/2*C_{FX})$$

It can be seen from equation EQ. A that there is already a linear relationship between the temperature count N<sub>T</sub> and the current I(T) (which is, in turn, proportional to temperature).

In both of the measurement equations EQ. A and EQ. B it can be seen that linear relationships exist, but the slope and intercept of these equations are complex combinations of multiple parameters which are unique to a given transponder design, and are likely to be different even for each transponder of a given design due to manufacturing variances. In a simple embodiment of this invention, the transponder could transmit only the counts  $N_{\tau}$  and N<sub>P</sub> to a reader/interrogator, and the reader/interrogator would have to use assumed average values for slope and intercept in order to determine temperature and pressure. This could cause significant inaccuracy, so the preferred embodiment as described herein stores calibration constants in the transponder memory (e.g., 236) and transmits these calibration constants with the measurement counts N<sub>T</sub> and N<sub>P</sub> so that the reader/interrogator (e.g., 106) can accurately calculate temperature and pressure using linear equations customized/optimized for the individual transponder generating the measurements. The linear equations used in the exemplary reader/interrogator (e.g., 106) are of a well-known "point-slope" form:

$$y-y_1 = m(x-x_1)$$

25 where:

5

10

15

20

 $(x_1,y_1)$  is the defining point; and

m is the slope.

The slope (m) can be determined from any two points on the line:  $(x_1,y_1)$ ,  $(x_2,y_2)$ :

$$m = (y_2-y_1)/(x_2-x_1)$$

Substituting for x and y, a specific equation for the temperature response line becomes: 30

$$N_{T^{-}}N_{T1} = m_{T}(T-T_{1})$$

31

Choosing a value such as 25°C for temperature T<sub>1</sub> yields the equation:

$$N_{T} N_{T25} = m_{T}(T-25)$$

Solving for N<sub>T</sub> yields the following equation for the temperature response line:

$$N_T = m_T(T-25) + N_{T25}$$

wherein the slope  $m_T$  of the temperature response line is:

$$m_T = (N_{T2}-N_{T1})/(T_2-T_1)$$

5

10

15

20

25

30

As long as the reader/interrogator "knows" the assumed defining point temperature (e.g.,  $25^{\circ}$ C), then it will be able to calculate a temperature (T) from a received value of temperature count  $N_T$  using the calibration constants: a defining point temperature count  $N_{T25}$  and a slope  $m_T$  which are also transmitted to the reader/interrogator. A similar set of equations can be applied to determine pressure from transmitted pressure (and temperature) counts and pressure calibration constants. As noted hereinabove, the pressure-only reading is best determined from a linear equation utilizing a ratio  $N_T/N_P$  (temperature count divided by pressure count) instead of just the pressure count  $N_P$ .

The calibration constants are determined in a calibration process which includes exposing each transponder to a set of controlled, known temperature and pressure conditions and recording the corresponding set of temperature and pressure counts ( $N_T$  and  $N_P$ ) generated by that transponder. Calculations on these calibration test results determine four calibration constants which are then stored in the transponder memory (e.g., 236). The four calibration constants are numbers representative of the slope and defining point for a linear response of temperature versus temperature count  $N_T$ , and pressure (only) versus count ratio  $N_T/N_P$ .

## GENERATING RELIABLE SUPPLY AND REFERENCE VOLTAGES

As described hereinabove, the positive (+) inputs (terminals) of the comparators 316a and 316b are tied together and are set to a reference "bandgap" voltage Vbg, such as 1.32 volts, which is independent of temperature. As also mentioned hereinabove, the supply voltage (Vdd) on the line 309' may be provided as a multiple of the reference bandgap voltage (Vbg) so as to be a stable operating voltage for the current scaling circuit 310 and the relaxation oscillator 312.

Figure 3B illustrates a circuit 370 suitable for generating the supply voltage Vdd. A temperature-independent calculable bandgap voltage Vbg is readily derived, based on the

processing techniques employed in fabricating the IC chip, as being inherent to the selected process (e.g., CMOS). This bandgap voltage Vbg is provided to the positive (+) input of an operational amplifier 372, connected as shown, in a feedback loop having gain, to provide supply voltage Vdd as an integral multiple of the bandgap voltage Vbg.

32

## AN EXEMPLARY DATA STREAM

5

10

15

20

25

30

As mentioned hereinabove, information (data) from the transponder is transmitted to the external reader/interrogator in the form of a data stream, a portion of which is the temperature count  $N_T$ , another portion of which is the pressure count  $N_P$ , and another portion of which represents the state (e.g., "closed" or "open") of the MTMS switch (220). Remaining portions of the data stream may contain information which is personalized to a given transponder unit such as its ID information (e.g., serial number), calibration constants, and the like.

Figure 3C illustrates an exemplary architecture for information which is stored in memory (e.g., 238) within the transponder 200, as well as a data stream which is transmitted by the transponder 200 to the external reader/interrogator 106. The memory 238 of the transponder core 204 has, for example, a 144-bit address space which includes 119 (one hundred nineteen) bits of programmable memory and one address location dedicated to the state of the MTMS switch 220 - these 120 (one hundred twenty) bits of programmable memory constituting the EEPROM 136 - plus two 12-bit temperature and pressure registers 232 and 234, respectively.

Each of the 119 programmable memory bits can separately be written to with any combination of data, including synchronization (sync) pattern information, general data, error checking codes, and temperature and pressure calibration data. The EEPROM is 'block writeable', meaning that in the 'write' mode, the entire 120 bits of EEPROM are programmed to a logical (binary) value of "1". Individual bits can be 'erased' (set to a logical value of "0" simply by clocking the chip to the bit's physical address and placing the chip into the 'erase' mode). The address location is preserved.

In this example, the first twelve data locations (000..011 in ROW 1) are reserved for sync. The next seventy one data locations (012..082 in ROWs 2 through 7) are for general information and a value for a data validation algorithm such as CRC (Cyclic Redundancy Check). The next data location (083) contains the logic level (state) of the MTMS switch

220. A logical value of "1" indicates that the MTMS switch is open and a logical value of

"0" indicates that the MTMS switch is closed.

As mentioned hereinabove, each transponder unit is suitably calibrated prior to its installation in a tire. The next twelve data locations (084..095 in ROW 8) hold temperature calibration (e.g., defining point) data ("TEMP COMP"). The next twelve data locations (096..107 in ROW 9) hold pressure calibration (e.g., defining point) data ("PRESS COMP"). The next twelve data locations (108..113 and 114..119 in ROW 10) hold calibration (e.g., slope) information for temperature and pressure, respectively.

33

As counts  $N_T$  and  $N_P$  for temperature and pressure are generated, as described hereinabove, they are stored in ROWs 11 and 12 of the overall memory space, which correspond to the temperature and pressure registers 232 and 234, respectively. Various predetermined values can be stored to indicate error conditions such as overflow and short-circuit.

## **OPERATING FREQUENCIES AND MODULATION**

5

10

15

20

25

30

The transponder of the present invention is not limited to any particular operating frequency. The choice of operating frequency will depend largely upon factors such as where the transponder is mounted in relationship to the object it is monitoring, the location of the reader/interrogator antenna (108), and relevant government regulations permitting (conversely, restricting) data transmissions of the type set forth herein in selected portions of the overall RF frequency spectrum.

An example of suitable operating frequencies for operating the transponder in the United States is 60 KHz to 490 KHz.

The transponder can be polled (and powered) by the reader/interrogator 106 at a first "interrogation" frequency (Fi), and the data stream can be transmitted back to the reader/interrogator at a second "data carrier" frequency (Fc) which is, conveniently, a whole number multiple or fraction of the interrogating frequency. For example, Fc = Fi/2. Or, Fc = Fi/4. The frequency (Fc) at which the data stream is transmitted back to the reader/interrogator is independent of the data rate, which is established by the clock generator 224 and the baud rate generator 248. However, one having ordinary skill in the art to which the present invention most nearly pertains will recognize that the range of available baud rates will typically be significantly less than the interrogation frequency (Fi).

10

15

20

25

30

The baud rate is preferably derived from the interrogation frequency (Fi) of the reader/interrogator, such as a whole number fraction thereof. For example, the baud rate may be set at Fi/32 (or, in the case of Fc=Fi/2, the baud rate can be set to Fc/16).

34

PCT/US99/29827

For example, the interrogation frequency (Fi) may be 125 KHz, and the data carrier (Fc) may be set to 62.5 KHz, or half of the interrogation frequency.

In another example, an interrogation frequency (Fi) of 13.56 MHz has been found to be suitable.

The data stream, such as the exemplary data stream described with respect to Figure 3C is impressed by the modulator circuit 246 onto the antenna 212, and transmitted to the reader/interrogator 106. It is within the scope of this invention that any suitable modulation scheme be employed, including amplitude modulation (AM), frequency modulation (FM), frequency shift keying (FSK), and phase shift keying (PSK). However, phase shift keyed (PSK) is preferred. AM modulation is not particularly well-suited to digital transmission. Frequency modulation schemes such as FM or FSK may be somewhat problematic with regard to propagating the data-modulated transponder output signal through the medium of a pneumatic tire (e.g., 104).

## RATIO VERSUS SIGNAL STRENGTH

An added advantage of using the ratio  $N_T/N_P$  for a pressure indicator accrues because it has been determined that the ratioed value is less sensitive to variations in coupling between the reader/interrogator and the transponder than either of the  $N_T$  and  $N_P$  measurements taken alone. This is illustrated in Figure 3D which shows a graph 390 of measurement counts (on vertical axis 394) versus power (on horizontal axis 392). For a passive transponder 200 such as described in the preferred embodiment of this invention, the transponder power is supplied by the RF signal from the reader/interrogator (e.g., 106). If the RF coupling strength weakens due to transmission or reception problems including excessive distance or interference, then the power in the transponder 200 circuitry may decrease. It has been determined that for power levels below a certain value PWR<sub>1</sub>, the relaxation oscillator 312 outputs a lower than normal frequency signal Fosc' and thus reduces the temperature and pressure counts  $N_T$  and  $N_P$  below what they should be for a given temperature or pressure. The effect is illustrated by the downward curve on the plot 396 of temperature count  $N_T$  and on the plot 398 of pressure count  $N_P$  as the plots extend

below the minimum power  $PWR_1$ . Fortuitously, the low-power effect is proportionally the same for both counts, so that the ratio  $N_T/N_P$  (plot 399) becomes relatively steady for all power levels down to a minimum power  $PWR_0$  needed to operate the transponder 200. Thus, by determining (during calibration) and storing calibration data for the ratioed value of  $N_T/N_P$  in the transponder, the ability to determine a pressure-only reading which is relatively insensitive to coupling variations between the reader/interrogator and the transponder is both simplified and made more reliable.

35

### IMPROVEMENTS, GENERALLY

5

10

The present invention deals with a new "RFIQ" transponder 400 (see Figure 4A) which implements improvements to the previous model, "3070C" transponder 200 described hereinabove. A number of improvements have been made, and new features incorporated, including, but not limited to:

- Lower power consumption.
- Increased oscillator stability vs. Power or Frequency.
- Increased resolution of temperature and pressure counts.
  - Increased electrostatic discharge (ESD) protection to more than 2200V.
  - Increased, programmable modulation index (magnitude of PSK modulation applied to the RF signal).
  - Reduced number of external connection pins for programming and testing.
- Increased Digital and Analog Testability.
  - Increased to 192 bits of data stream.
  - Increased to 156 bits of programmable EEPROM.
  - Programmable with the antenna (coil) attached.
  - 6 bits of parity 1 bit for each 4 bits of NT, NP data.
- Programmably scale currents to independently optimize reading counts for pressure and temperature.
  - 3V Battery powered mode for use in "active" implementations of the transponder ("active tags").
  - Power-On Reset.
- Base-band data output on a test pin.

### PROBLEMS TO BE SOLVED

5

10

15

20

25

30

In particular, there are general problems with the previous transponder design 200 described hereinabove. It is believed that improvements which are the subject of the present invention provide solutions for a number of these problems:

PCT/US99/29827

- The relaxation oscillator of the previous design transponder 200 could be adjusted to
  optimize the pressure and temperature counts (NP and NT) for a desired pressure and
  temperature range, but the adjustment could only be accomplished during integrated
  circuit production. It is desirable to provide a way to optimize the counts NP and NT
  after integrated circuit production.
- When the previous design transponder 200 is used (as a passive transponder), inaccurate results may be transmitted when the transponder operates at too low a power input, such as during startup, or when distant from the reader/interrogator. (For example, refer to Figure 3D.) In addition, the previous model would start modulating ("transmitting") as soon as it received an interrogation signal of any strength, and would start the transmission at a random location in the data word. If the initial signal was weak (from a distant reader/interrogator), then the voltage supplies could be insufficient to produce valid temperature and pressure readings. And if modulation started before sufficient power levels were developed, then the power drain of signal modulation would aggravate the insufficient power problems. A further problem occurs if the relaxation oscillator does not start each measurement cycle in a consistent, defined state.
- The previous design transponder 200 has a fixed modulation index (magnitude of RF signal modulation) which is determined during integrated circuit production. It is desirable to provide a way to optimize the transponder for different combinations of antenna ("coil") 210 and reader/interrogator 106, and for different operating conditions. Also, certain transponder applications utilize an external zener clamp across the antenna 210 for better stability, but the zener can cause the previous design transponder 200 to be "read-limited".
- Although the previous design transponder 200 can have certain operating characteristics adjusted ("trimmed") during manufacture (e.g., current scaling via size adjustments to transistors), these manufacturing changes are permanent, and not easy to vary from transponder to transponder. Furthermore, if trim settings were to be

implemented in programmable memory (e.g., EEPROM), then there would be other problems with accessing those settings during power-up, and continuously rather than through row (242) and column (240) decoders.

37

Other improvements and problem solutions may become evident in the description to follow.

### **GENERAL DESCRIPTION**

5

10

15

20

25

30

The improved RFIQ<sup>m</sup> transponder 400 (compare 200, 102) is a custom CMOS, low voltage Integrated Circuit ("IC", or "chip") that can measure temperature and pressure as a low-frequency "passive" (RF signal-powered) transponder or as an "active" (battery-powered) measurement system. The IC provides programmable trimming that determines:

(a) if the part is active or passive, (b) to adjust temperature and pressure resolution, and (c) modulation index and coil clamping strength (when in the passive mode).

In the passive mode, the IC develops power from a reader/interrogator RF signal that is coupled to an external LC circuit across the transponder antenna inputs. The transponder uses the signal received to provide power and to generate an on-chip clock. The transponder sends back its memory contents to the reader by modulating the impedance of the antenna, which is known as "back scattered modulation". The reader demodulates the returned data to get the sensor identification ("ID") as well as sensor data and calibration constants needed to interpret the data.

The transponder sends a 196-bit serial phase-shift-keyed (PSK) data-stream as sixteen, 12-bit words. The first 12 bits are the programmable sync word, with each sync bit being 1.5 bits wide. Next, 144 bits of EEPROM are transmitted that are of normal bit width. The 144 bits contain the unique ID code of the transponder, the calibration constants for temperature and pressure data, and a CRC for error checking. The transponder then sends 36 bits of data: a 12-bit temperature count (NT), a 12-bit pressure count (NP), and a 12-bit word consisting of five unused bits as 1's, 1 bit for the state of the MTMS over-temperature sensor, and 6 bits of even-parity, with one bit of parity for every four bits of NP and NT data.

In the active mode, the transponder must be controlled by external hardware. The controlling hardware provides power to the IC and a clock signal via either the CLK or VB pads. The IC shifts out its data on the DATA pad on every falling edge of the clock. The

10

15

20

25

30

clocks must be given at a precise clock rate so that temperature and pressure are collected during fixed periods of time and therefore, the hardware can optimize the clocking time per bit to get the highest resolution for temperature and pressure. The IC can operate down to as low as 2.8V in active mode.

38

PCT/US99/29827

As either a passive or active tag (transponder), the IC can be tested after assembly by applying power and talking to the chip via a 4-pin interface. This interface allows the user access to the chip's EEPROM and allows testing the chip functions. The EEPROM data can be read, cleared, or programmed and the oscillator tested by directly reading the frequency. The chip sensor oscillator can also be tested and provides a second method for reading temperature and pressure in the active mode.

### OVERVIEW OF THE RFIO™ TRANSPONDER CIRCUITRY

Figure 4A is comparable to Figure 2, and is a block diagram of a relevant portion of an improved RFIQ™ transponder 400 (compare 102, 200), illustrating the following signals, terminals and functional blocks (sections), and their interconnections with one another. This exemplary system is described as an embodiment which preferably measures pressure and temperature, but it is within the scope of the invention to include measurement of other parameters which employ suitable sensors.

The transponder 400 is preferably implemented on a single integrated circuit (IC) chip shown within the dashed line 402 (compare 202), to which are connected a number of external components. Other dashed lines in the figure indicate major functional "blocks" ("sections") of the transponder 400, and include a block 438 (compare 238) of addressable memory, and a sensor interface section 406 (compare 206).

The components external to the IC chip 402 include an antenna system 410 (compare 210) comprising an antenna (coil) 412 and an optional capacitor 414 connected across the coil 412 to form an L-C resonant tank circuit, an external precision resistor (Rext) 416 (compare 216), an external pressure-sensing capacitor (C<sub>p</sub>) 418 (compare 218), and an optional external maximum temperature measurement switch (MTMS) 420 (compare 220). Each external component has an appropriately labeled connection pad as shown in Figure 4A: VA and VB for the antenna system 410; Rext, Cp and MTMS for the high side of the precision resistor 416, the pressure-sensing capacitor 418, and the maximum temperature measurement switch 420, respectively. The ground connection for the analog external

components Rext, Cp, and MTMS should be made via the analog ground AGND pad. The other ground pad (GND) is for the ground connection of external digital connections. The remaining connection pads are for use in active or test modes of transponder 400 operation as described hereinbelow.

The antenna 412 may be in the form of a coil antenna, a loop antenna, a dipole antenna, and the like. It is mainly used when the transponder 400 is in the passive mode. Alternatively, when the transponder 400 is in the active mode, the antenna system 410 may not be present, and the signal output by the transponder 400 may be provided via direct connection to a DATA pad. In the main hereinafter, a transponder having a coil antenna,

and used in the passive mode is described.

The transponder IC 402 includes interface circuitry 422 (compare 222) for processing an RF signal, such as an un-modulated carrier signal of frequency Fi (e.g., 125 kHz) received by the antenna 412, and for rectifying the received RF signal so that it can be used to power the transponder 400 operating in passive mode. The signal processing includes passing on a suitable form of the incoming signal to be used for generating timing/clock pulses for the transponder 400, and also includes applying modulation to the carrier signal for transmission by the antenna system 410.

The rectified carrier signal is clamped to a maximum of approximately 13.0 volts to prevent breakdown of the IC 402 substrate. The clamped, rectified signal has a voltage Vpp which can be read at a VPP pad, and ranges from 0 – 13 volts. The Vpp voltage is then shunt-regulated to a maximum of 6.5 volts, and designated as supply voltage (or "input voltage") Vxx which can be read at a VXX pad. The Vxx voltage level follows the Vpp voltage, and is about 6.2 volts for a typical received RF signal. Voltage Vxx is regulated to prevent voltages high enough to potentially damage CMOS circuitry in the IC 402.

25

30

5

10

15

20

The voltage Vxx is provided to a power-on reset (POR) circuit 482 (which is new to this model transponder), and also to a regulation and bandgap reference circuit 423 (compare 222) for providing various voltage supplies to the circuitry on the IC chip 402.

The power-on reset circuit 482 is provided to ensure that the transponder 400 will not begin to record sensor readings or to transmit data until enough power is being supplied by the received carrier signal to allow proper functioning of the transponder 400. The POR circuit 482 evaluates the voltage Vxx level and outputs a reset signal which is not released

10

15

20

25

30

until the Vxx voltage is deemed sufficient. If desired, such as for test purposes, the reset signal can be imposed from outside the chip 402 through an RES connection pad.

40

PCT/US99/29827

In the passive mode, as long as the supply voltage Vxx is sufficient (at least 4 volts as determined by the POR circuit 482), the regulation and bandgap reference circuitry 423 will regulate voltage Vxx to provide a regulated analog supply voltage Vcc of approximately 3 volts, with an operating range of 2.8 V (minimum voltage for a stable oscillator 452) to approximately 3.5 V. The digital supply voltage Vdd is regulated by a source follower connected to voltage Vcc and can supply current independently of voltage Vcc (compare with previous method as in Figure 3B, described hereinabove). Voltage Vdd is typically about one threshold below Vcc, or approximately 2.5 volts, ranging from 1.2 V (minimum voltage for stable logic and memory operation) to approximately 3.5 V, and supplies both the digital logic and the EEPROM (memory) array 436 during reading. During transponder programming, voltages Vcc and Vdd are not affected by applying power to pad VPP. The voltages Vcc and Vdd can be read externally via their correspondingly-named connection pads (VCC and VDD). Also, in active (battery-powered) mode, the regulators can be overridden by applying external power to the VCC and VDD pads. Finally, for circuits which need a stable reference voltage, the regulation and bandgap reference circuit 423 provides a temperature-independent bandgap voltage Vbg. The reference voltage Vbg is also independent of the chip supply voltage Vxx as long as the voltage Vxx is above a minimum operating level. The regulation and bandgap reference circuit 423 will output a substantially temperature-independent voltage Vbg of approximately 1.20 volts over a transponder operating temperature range of, for example, -40 to 150 degrees C. The bandgap voltage Vbg is used as a reference voltage by the Vxx, Vcc and Vdd regulators 423 as well as the relaxation oscillator 452 and the power-on reset circuit 482.

The interface and rectification circuitry 422 also provides the received RF signal, preferably at the input frequency (Fi) it is received, to a timing and clock generator circuit 424 (compare 224, 226) which generates clock signals in a known manner for controlling the timing of other circuits on the IC chip 402. The generated clock signal is a 50% duty cycle square wave, preferably at frequency Fi, and is independent of any modulation applied to the antenna system 410 by the transponder 400 for the transponder's PSK transmission. The timing and clock generator circuit 424 also divides down the system clock to develop

10

15

20

25

30

41

timing for addressing of the data in the addressable memory 438, and for the modulation. For example, the system clock frequency Fi is divided by two to determine the frequency of the PSK modulated return carrier signal. Other divisions of the frequency Fi are used for determining the baud rate for data transmission. In active or test modes of operation, the timing and clock generator circuitry can be bypassed or used as a buffer for direct input of the clock signal via a CLK pad or the VB antenna pad.

From the timing and clock generator 424 the various clock signals are passed to several control logic circuits: the column decoder 440 (compare 240), the column to data converter 441, and the row decoder &  $N_T$ ,  $N_P$  control 442 (compare 242) which control access to data stored in the addressable memory 438. The clock signals are also used by the row decoder &  $N_T$ ,  $N_P$  control 442 to control the timing of the relaxation oscillator 452 and the data capture circuitry 454, which generate and store the temperature  $N_T$  and pressure  $N_P$  readings (counts) in the temperature register 432 and pressure register 434. Thus the row decoder &  $N_T$ ,  $N_P$  control 442 functions as a "timing generator" secondary to the timing and clock generator 424.

The addressable memory block 438 includes an EEPROM array 436 (compare 236) and several hardware registers 432, 434, and 435 (compare 232 and 234). The EEPROM 436 is programmed with a variety of stored information which will be described in detail hereinbelow.

The last two rows of the EEPROM (e.g., rows 14 and 15) constitute a trimming bits section 436b which is programmed to store trimming information. The trimming information: (a) controls the scaling of the current (in the base-emitter voltage to current converter 450) supplied to the relaxation oscillator 452 to optimize the pressure and temperature count resolution, (b) sets the modulation index in the modulation circuit 446 to optimize signal transmission for a given antenna system 410 and for a given transponder application, (c) sets the mode of operation (active or passive), and (d) optimizes the impedance of a clamp on the voltage Vpp across the rectification circuit 422. Utilizing the trimming lines 485, the trimming information stored in the trimming bits 436b can be directly read by the circuits it affects (e.g., 450, 446, 484, 422, 482) at any time during transponder operation (active or passive). Alternatively, as in certain test and programming modes, the trimming bits 436b can be accessed for external reading and writing

10

15

20

25

30

(programming) along with the rest of the EEPROM 436 memory via the DATA connection pad, as controlled by the test logic circuitry 484, and communicated by a transponder data line 444 through the column to data converter 441.

42

As described hereinabove with reference to the previous model transponder 200, the temperature and pressure registers (432 and 434 respectively) are each a hardware register holding the count (e.g., 12 bit) of a down-counter which is clocked by the sensor data signal of frequency Fosc' coming from the sensor interface section 406.

The parity, MTMS 435 section of memory is also new to this model of transponder 400. It is implemented as a register in hardware with, for example, 12 bits of stored data. Five bits are permanently set (value = 1), then there is a bit which sets/clears according to the open/closed (1/0) status of the MTMS switch (closes if exposed to excessive temperature), and finally six bits registering the parity of the pressure and temperature counts: three parity bits for the pressure register 434 count N<sub>P</sub>, and then three parity bits for the temperature register 432 count N<sub>T</sub>. The parity bits are continuously updated during sensor data capture, following the changing counts in the N<sub>P</sub> and N<sub>T</sub> down-counters (the pressure 434 and temperature 432 registers, respectively). Each parity bit represents the parity of 4 sequential bits (a "nibble") in the corresponding pressure 434 or temperature 432 register; with the most significant parity bit representing the most significant nibble of the count, the middle parity bit for the middle nibble of the count, and the least significant parity bit for the least significant nibble of the count.

The sensor interface portion 406 (compare 206) of the transponder chip 402 consists of the base-emitter voltage to current converter 450 (compare 250) with connection pad "Rext"; the relaxation oscillator 452 (compare 252) with connection pad "Cp"; the data capture circuitry 454 (compare 254); and the "MTMS" connection pad and line 459 connecting it to the MTMS bit in the parity, MTMS register 435 (compare 236 for the previous location of the MTMS bit).

The base-emitter voltage to current converter 450 functions in a similar way to the converter 250 which is described in greater detail hereinabove with reference to sections 306 to 310 of Figure 3. For improved performance, the circuitry of sections 306, 308 and 310 in the new base-emitter voltage to current converter 450 utilizes cascodes instead of single stage current mirrors (e.g., for transistors P1, P2, P4, P6), and the external resistor Rext

5

10

15

20

25

30

416 may have a different preferred resistance value, such as 500 kilohms. Cascodes are desirable because of their increased power supply rejection ration (PSRR). Also, in a feature to be described more fully hereinbelow with reference to Figure 5, the final stage 510 (compare 310) of the base-emitter voltage to current converter 450 is connected in a different way to section 308, and works in conjunction with programmed settings in the trimming bits register 436b to provide a scaled proportional-to-temperature current I(T)B on the line 451, 511 (compare current I(T)/N on line 251, 311) to the relaxation oscillator circuitry 452 (compare 252). In contrast with the previous design, the current scaling circuit 510 (compare 310) can scale the current I(T) by a variable scaling factor "B", as determined by programmed settings in the trimming bits register 436b.

43

The relaxation oscillator 452, under the timing control of the row decoder &  $N_T$ ,  $N_P$  control circuit 442, works in conjunction with the external capacitive pressure sensor Cp 418 to generate a signal on line 453 (compare 253) with frequency Fosc' which is indicative of either the ambient temperature or the ambient pressure, depending on the timing window determined by the row decoder &  $N_T$ ,  $N_P$  control circuit 442. The data capture circuitry 454, under the timing control of the row decoder &  $N_T$ ,  $N_P$  control circuit 442, directs the Fosc' signal to the appropriate hardware register (depending on the timing window): to the temperature register 432 via line 455, or to the pressure register 434 via line 457.

The current scaling circuit 510 (part of the base-emitter voltage to current converter 450) and the relaxation oscillator 452 have improvements compared to the relaxation oscillator 252, and the MTMS 420 status is directed to a different memory location (register 435) than in the previous implementation (EEPROM 236). Otherwise, the sensor interface section 406 functions essentially the same as the corresponding section 206 in the previous model 3070C transponder 200.

As described hereinabove with reference to the previous model transponder 200, the term "ambient" refers to the parameter being measured in the vicinity of the transponder 400, or more particularly in the vicinity of the respective sensors associated with the transponder 400. Also, references made herein to "pressure readings", "pressure counts", "pressure response", "pressure register" and the like generally refer to "pressure" as measured by this transponder technique which actually produces a hybrid pressure-temperature reading. When this hybrid reading has been processed to remove its

10

15

20

25

30

44

temperature component, the reading is referred to as a "pressure-only" reading.

In conjunction with the column decoder 440 and the row decoder 442, the column to data converter 441 controls the sequence in which signals (i.e., data) are output on a line 444 (compare 244) to a modulation circuit 446 (compare 246) which, via the interface and rectification circuitry 422 (compare 222), communicates selected measured tire operating characteristics in a data stream via the antenna system 410 to an external reader/interrogator (e.g., 106). The line 444 also communicates the data stream to the test logic circuit 484 where it can be directly accessed via the DATA connection pad.

The modulation circuit 446 converts the data stream from line 444 to a representative sequence of impedance changes (modulations) which are applied to the antenna system 410 through the interface and rectification circuit 422. A new feature of the transponder 400 of the present invention is the ability to modify the modulation index (magnitude of modulation) to suit operating power levels and to select (via the trimming bits 436b) a modulation index optimized for the individual transponder 400, antenna system 410, and reader/interrogator 106 in use.

In passive mode operation, an RF carrier signal from an external source (e.g., a reader/interrogator 106) is received by the antenna 412. This RF signal is rectified and used to power the RF transponder 400 as well as providing the timing/clock signals. Modulating information applied by the modulation circuit 446 is used to alter characteristics (e.g., impedance, resonant frequency, etc.) of the LC tank circuit of the antenna system 410. These alterations are sensed as changes in load by the external reader/interrogator 106 and are decoded, providing communication of data back from the RF transponder 400 to the external reader/interrogator 106. Because the transponder 400 passive power is derived from the received RF signal, and because modulation of that signal drains off some of that power, the POR circuit 482 maintains a reset signal during passive power-up, and will not clear the reset signal (thereby allowing modulation), until the transponder power levels are high enough to assure stable operation of the transponder 400 during modulation.

The test logic circuit 484 enables tests that can be performed at all phases of transponder production and use, including wafer sort, initial board assembly level programming, programming at the pre-calibration stage, calibration and trimming of the transponder, and post-calibration programming to adjust the trimming bits 436b for

encapsulation-induced offset error.

5

10

15

20

25

30

More detailed explanations of significant portions of the RFIQ™ transponder 400 of this invention are presented in the following sections.

45

### MEMORY ASSIGNMENTS AND THE DATA STREAM

The addressable memory block 438 is organized in a way which provides a data stream that is improved over that of the previous model 3070C transponder 200. The column decoder 440, the column to data converter 441, and the row decoder & N<sub>T</sub>, N<sub>P</sub> control circuit 442 work together to control the flow of data in and out of the addressable memory block 438. When operating in the active or passive modes (i.e., not in a test or programming mode), the circuits 440, 441 and 442 access the memory locations one at a time in sequence from the first address to the last (most significant bit to the least significant bit in each data "word", with words sequenced from the lowest numbered word to the highest), thereby producing a serial string of data for transmission. It will be seen from the following description that the rows to be included in the data stream are selected according to the mode of operation (i.e., passive/active, or a variety of test and programming modes). An added function of the row decoder & N<sub>T</sub>, N<sub>P</sub> control circuit 442 is to control the sensor interface circuitry 406 (via line 487) so that it is accumulating temperature-related counts in the temperature register 432 during one portion of the data transmission (e.g., while transmitting words/rows 2 through 6), and pressure-related counts in the pressure register 434 during another portion of the data transmission (e.g., while transmitting words/rows 9 through 13), both accumulations to be completed in time for the counts to be read back out of the registers 432 and 434 when their part of the data stream is due (e.g., words 14 and 15 ).

Figure 4B (compare Figure 3C) is a "map" of the addressable memory block 438, showing its physical organization (by "rows") and also showing the organization of the active or passive mode data stream (by "words"). In the preferred embodiment of transponder 400 described herein, each word or row is 12 bits (or columns) in length, and there are 16 words in the data stream, comprising a total of 192 bits (12 times 16). The drawing of the memory block 438 in Figure 4A is helpful in understanding the physical organization. It should be noted that the rows 1 to 13 of physical memory (EEPROM 436) correspond to the words 1 to 13 of the data stream, but the rows 14 and 15 of physical

10

15

20

25

30

memory (EEPROM 436) are not part of the data stream. Instead, the temperature register 432 is read out as word 14, and the pressure register 434 is read out as word 15 of the data stream. The final word of the data stream, word 16, is read out of a hardware register of physical memory (the parity, MTMS register 435).

46

The EEPROM 436 portion of the exemplary addressable memory block 438 comprises 180 cells arranged in a 12 column by 15 row array. Each cell is made up of at least one n-channel select gate and one corresponding EEPROM transistor. The first 13 rows (sync, I.D., calibration, CRC 436a) are readable in the normal read modes whether the transponder 400 is programmed to be active or passive. The EEPROM memory locations in these first 13 rows are selected in the usual way, with one n-channel transistor row selection gate per EEPROM transistor. The EEPROM cells at rows 14 and 15 (trimming bits 436b) hold the data for 12 bits of trimming information, and are configured differently to facilitate their special role in the transponder. Each trimming bits 436b EEPROM cell has two gates instead of one, adding a special READ TRIM selection gate in series with the row selection gate so that the trimming bits 436b cannot be added to the data stream unless the READ TRIM selection gate has been enabled as in certain test modes of transponder operation. Another feature of the special trimming bits 436b register is that each trimming bits 436b cell also has an added sensing line to communicate the EEPROM bit setting (programmed trimming information) to appropriate sections of the transponder 400 circuitry as needed.

Thus the EEPROM 436 and associated logic are structured so that: The trimming bits 436b data can be read externally by a "READ\_TRIM" test mode which reads out the bits sequentially as words 14 and 15 in the data stream in place of the temperature and pressure counts. In a "READ" test mode, as in normal operation, the trim bits are not seen in the data stream, but are still accessed by sense amplifiers to communicate programmed trim settings to appropriate sections of the transponder 400. In a "WRITE" test mode, all the EEPROM 436 cells (including the trim bits 436b) are addressed simultaneously and are written to. This in effect writes '1's to all the EEPROM cells, giving them high thresholds. In an "ERASE" test mode, individual cells can be erased (programmed to '0', given a low, negative, threshold): as the clock CLK signal indexes through the EEPROM cell array, a cell at the intersection of the column addressed and the row addressed is erased by raising

5

10

15

20

25

30

the voltage on the VPP pad to the programming voltage and enabling the erasure by raising the DATA pad high.

47

Referring to figure 4B, the map illustrates an exemplary organization wherein the first twelve data locations (bits 000..011 in row 1) are reserved for synchronization ("sync") data. The next forty-eight data locations (bits 012..059 in rows 2 through 5) are for general information identifying the individual transponder 400. As mentioned hereinabove, each transponder unit is suitably calibrated prior to its installation in a tire. The next twenty-four data locations (bits 060..083 in rows 6 through 7) hold temperature calibration data (e.g., a defining point and a slope). The next twenty-four data locations (bits 084..107 in rows 8 through 9) hold pressure calibration data (e.g., a defining point and a slope). The next thirty-six data locations (bits 108..143 in rows 10 through 12) hold additional identifying information concerning the IC chip 402. The next twelve data locations (in row 13) hold four bits of identifying information about the chip calibration (bits 144..147), and an eight bit value (bits 148..155) for a data validation algorithm such as CRC (Cyclic Redundancy Check). The next two words in the data stream (words 14..15, bits 156..179) are read from the temperature register 432 and the pressure register 434, respectively. The final word of the data stream (word 16, bits 180..191) is read from the parity, MTMS register 435, which contains five "open" bits (bits 180..184), then one bit (bit 185) containing the logic level (state) of the MTMS switch 420, and finally six bits (bits 186..191) containing 3 bits each for the parity of the pressure count followed by the parity of the temperature count. The five open bits are unused, and are fixed as logical "1" values.

The column decoder 440, column to data converter 441, and row decoder & N<sub>T</sub>, N<sub>P</sub> control 442 circuits coordinate addressing and access to the addressable memory block 438.

The column decoder 440 consists of a four-bit synchronous counter that addresses a 1-of-12 decoder. The outputs from the column decoder 440 address the 12 columns of the memory array during programming and reading. To address a column, the address n-channel device routes a low power current-source to charge the addressed column. If a bit is written to, the column will be pulled high, but if erased, the column will be pulled low. The output of the current-source is buffered and drives a transponder data line 444 that goes through the column to data converter 441 to the modulation 446 and test logic 484 circuits. The columns are sequentially addressed from column 1 to column 12 at the rate of a timing

10

15

20

25

30

logic clock signal provided either by the timing and clock generator 424, or externally via the CLK pad. After addressing column 12, the column decoder 440 triggers the row decoder & N<sub>T</sub>, N<sub>P</sub> control 442 to clock it to the next row and also cycles itself back to column 1. Whenever a reset signal (turned on, then off) is received from the power-on reset circuit 482 (or via the RES pad), the column decoder 440 and the row decoder & N<sub>T</sub>, N<sub>P</sub> control 442 will reset their addressing sequence to start at column 1 of row 1, i.e., the first bit or cell in the addressable memory block 438. The column decoder 440 serially addresses the EEPROM 436 array in any of the reading modes of operation or during erasing. In the WRITE test mode it has no effect, since the entire EEPROM memory is simultaneously addressed for the WRITE operation.

48

The row decoder & N<sub>T</sub>, N<sub>P</sub> control 442 is a 4-bit synchronous counter that addresses a 1-of-16 decoder. The decoder addresses 13 rows of EEPROM data memory 436a from rows 1 though 13. In the WRITE, ERASE, and READ TRIM test modes, it also addresses rows 14 and 15 of the EEPROM memory 436b for the trimming bits. During normal reading (active or passive mode), the decoder addresses the temperature 432 and pressure 434 hardware registers at row addresses 14 and 15, respectively. Regardless of operating mode, the row-16 address is directed to a special data row: the parity, MTMS 435 hardware register. In the READ and ERASE test modes each row is addressed sequentially on the falling edge of the last bit of the column decoder 440. In the passive or active mode, a reset signal sets the row decoder & N<sub>T</sub>, N<sub>P</sub> control 442 to row 1, which is the sync word. In the WRITE mode, all the rows are simultaneously addressed, which pulls all select lines and control gates to voltage Vpp. As mentioned hereinabove, an added function of the row decoder & N<sub>T</sub>, N<sub>P</sub> control circuit 442 is to control the sensor interface circuitry 406 so that it is accumulating temperature-related counts in the temperature register 432 and pressurerelated counts in the pressure register 434 during their designated time periods (data collection windows).

### **VARIABLE MODULATION INDEX**

As described hereinabove, in passive mode operation, when a reset signal from the power-on reset circuit 482 has been released, the modulation circuit 446 converts the data stream from line 444 to a representative sequence of impedance changes (modulations) which are applied to the antenna system 410 through the interface and rectification circuit

10

15

20

25

30

422. A feature of the transponder 400 of the present invention is the ability to dynamically modify the modulation index (magnitude of modulation) in response to operating power levels, and to programmably select (via the trimming bits 436b) a modulation index optimized for the individual transponder 400, antenna system 410, and reader/interrogator 106 in use.

49 .

In passive mode operation, an RF carrier signal from an external source (e.g., a reader/interrogator 106) is received by the antenna 412. This RF signal is rectified and used to power the RF transponder 400 as well as providing the basis for the timing/clock signals. The modulation circuit 446 imposes the data stream onto the incoming RF carrier signal by altering the impedance load on the LC tank circuit 412/414 of the antenna system 410. During transmission by the external reader/interrogator 106 to a transponder 400 which is within a suitable range, the reader/interrogator antenna 110 is coupled to the transponder antenna system 410. Because of this coupling, the alterations in load imposed on the transponder antenna system 410 are sensed by the external reader/interrogator 106 as changes in its own antenna 110 loading and are decoded, providing communication (i.e., "transmission") of data back from the RF transponder 400 to the external reader/interrogator 106. This is known as "back-scattered modulation." The modulation pattern preferably used is a phase-shift keyed (PSK) technique, wherein the modulation causes a periodic variation in the amplitude of the carrier signal and a change in the phase of that periodic variation signifies a bit-value change in the data stream. The sync word at the beginning of the data stream employs known methods to correlate the modulation phase with logical state of the bits to follow. In order to accomplish the amplitude modulation, the low frequency square-wave data stream is combined with a higher frequency (e.g., 16 times higher) waveform to create a PSK MOD waveform which has a 180 degree phase shift in the PSK MOD square-wave for every change of logic state in the data stream.

The amplitude variation in the modulated signal is implemented by modulating (switching in and out) a modulation load between the antenna system 410 inputs at pads VA/VB and ground. A feature of this invention is that the modulation load (modulated load) can be programmably varied in a binary fashion via the settings programmed into the bits 0..3 of the trimming bits register 436b. For example, ignoring the non-loaded (infinite impedance) case, the trim bit settings can vary the impedance of the modulated load from a

10

15

20

25

30

highest impedance (minimum load) of approximately 10K down to a lowest impedance (maximum load) of approximately 667 ohms (one fifteenth of the maximum impedance). Another feature of this invention is that the modulated load applied to the antenna system 410 is dynamically varied during operation by the voltage Vxx such that at a low voltage Vxx (such as results from being distant from the reader/interrogator 106) the modulated load seen by the antenna system 410 is low (high impedance), but increases as the transponder 400 is brought into the near field of the reader/interrogator 106. Since a transponder 400 in passive mode derives its operating power from the RF power seen at the antenna system 410, this feature prevents the modulation from draining off too much of the energy needed to power the transponder when it is at far distances from the reader/interrogator 106. Also, in some situations a Zener diode may be desired as an external clamping device across the antenna system 410, 710 in order to dissipate excessive power when high power reader/interrogators are used. If so, then the dynamically-varied modulation feature helps compensate for the read-limiting effect of the Zener diode.

The magnitude of amplitude modulation imposed by the transponder modulation circuit 446 can be expressed as a "Modulation Index," or "Percent Modulation," which is calculated as follows:

Modulation Index =  $100 * (VP_2 - VP_1) / (VP_2 + VP_1)$ 

where VP<sub>2</sub> is the peak voltage of the un-modulated waveform, and VP<sub>1</sub> is the peak voltage of the loaded-down peaks in the modulated waveform. The resultant Modulation Index can be seen as a percentage representative of the amount of reduction in the RF signal energy imposed by the increased load during modulation.

Figure 5 illustrates a modulator 700 in a detailed schematic representation of the modulation circuit 446 (compare 246), which implements the variable modulation index of this invention. A capacitor 714 (compare 414, 214) is connected across an antenna coil 712 (compare 412, 212) to form an L-C resonant tank circuit which is the antenna system 710 (compare 410, 210). The antenna system 710 is connected to the transponder chip 402 via connection pads VA and VB, which are accessed both by the interface & rectification module 422 and the on-chip portion 446 of the modulator circuitry 700.

The antenna system 710 is driven by a modulation load comprising one or more of the output stage transistors M1..M4 and one or more of the output stage transistors

M5..M8. The output stage transistors M1..M8 are "low-doped drain" transistors in order to best handle the potentially high voltages generated on the antenna system. Since the interface & rectification module 422 is performing a full-wave rectification of the signal received on the antenna system 710, the two terminals VA and VB of the antenna system 710 are separately modulated to achieve symmetric modulation effects. The output stage transistors M1..M8 have a range of physical sizes, expressed as a "width" by a "length" (W x L). For example: transistors M1 and M5 are 80µm x 8µm ("µm" units are micro-meters, or microns); M2 and M6 are 40µm x 8µm; M3 and M7 are 20µm x 8µm; M4 and M8 are 10μm x 8μm. As an alternative to manufacturing differently sized transistors, each of the transistors M1..M8 could be made up of one or more transistors in parallel, with physical areas which add up to the desired total physical area (e.g., transistor M1 could comprise eight 10µm x 8µm transistors in parallel). The output stage transistor M1..M8 physical sizes are chosen to yield loads in binary steps from one output stage transistor to the next, within each set of transistors M1..M4/M5..M8 for an antenna terminal VA/VB. It is known that the linear mode impedance of a transistor such as the output stage transistors M1..M8 used in this circuit 700 (e.g., FET transistors) can be represented as:

$$Z = L / (W * k * (V_{GS} - V_{Tb}))$$
 [EQ. C]

5

10

15

20

25

30

where Z is the impedance, L and W are the "length" and "width" of the transistor, k is a simplifying "constant" including parameters which do not change between transistors in this example,  $V_{GS}$  is the gate-to-source voltage (e.g., gate to ground), and  $V_{Th}$  is the threshold voltage for the transistor (e.g., 0.5 V). Thus, in this example, transistors M4 and M8 each have an impedance proportional to one over their "width", or 1/10; transistors M3 and M7 each have an impedance proportional to 1/20; transistors M2 and M6 each have an impedance proportional to 1/40; and transistors M1 and M5 each have an impedance proportional to 1/80.

Connected to pairs of transistors M1/M5..M4/M8 are four level shifters LS1, LS2, LS3 and LS4, each of which is controlled by the control logic circuitry 747, and are supplied an additional power supply voltage Vxx. The control logic 747 and the circuitry preceding it are operated with logic level voltages, i.e., voltage Vdd. The level shifters LS1..LS4, when turned on by the control logic 747, output the higher voltage Vxx to the gates of the transistors connected to them. Thus the gate-to-source voltage V<sub>GS</sub> in the

10

15

20

25

30

equation EQ. C becomes the input voltage Vxx which is derived from the rectified input RF signal, and therefore is proportional to it up to the limit voltage imposed by the interface & rectification circuit 422. As can be seen in the equation EQ. C, the impedance of the output stage transistors M1..M8 is inversely proportional to the voltage ( $Vxx - V_{Tb}$ ). This is an exemplary technique employed to vary the modulation index according to variations in the incoming power level.

52

The control logic 747 has two main sets of control inputs: the trim bit information, and the phase-shift-keyed data stream  $\overline{PSK\_MOD}$  (the PSK\_MOD signal inverted as it passes through another control logic element: a NOR gate 796).

The PSK MOD signal is formed by known techniques such as combining the data stream and a clock signal in an exclusive or (XOR) gate 794. In this embodiment, the clock signal is a square-wave signal "SYS CLK/2" divided down to half the frequency of the system clock signal, which obtains its frequency from the RF carrier signal received by the antenna system 710, 410. The data stream operates off a column-decoder clock signal with a frequency of the system clock signal divided by 32. For example, with a 125 KHz RF signal from the reader/interrogator 106, the system clock frequency will be 125 KHz, the SYS CLK/2 signal frequency will be 62.5 KHz, and the data stream will be clocked at a frequency of approximately 3.9 KHz, creating 16 cycles of the SYS CLK/2 signal for each cycle (i.e., bit) of the data stream. The data stream is introduced to the modulation circuit 446, 700 via the line 444 from the column to data converter 441 as described hereinabove. It is a feature of the present invention to use a sync delay 792 circuit to impose a synchronization delay on the SYS CLK/2 signal before it is applied to the XOR gate 794. The sync delay 792 provides enough delay (e.g., 400 nano-seconds) to synchronize the phase changes of the SYS CLK/2 signal with the phase changes of the data stream signal. A delay to synchronize is needed due to the delays encountered by the data stream as it passes through the many gates in the transponder memory 438 and the column and row decoders 440, 442 and the column to data converter 441. Synchronization is desirable so that the only phase changes imposed by the XOR gate 794 on the PSK signal are those due to bit state changes in the data stream.

The PSK\_MOD signal is passed through the NOR gate 796 which serves as an onoff switch controlled by either the RESET signal or a "TESTING" signal (indicating if the

transponder 400 is in a test mode where modulation is not desired). If either the RESET signal or the TESTING signal is high, the PSK\_MOD signal will be blocked by the NOR gate 796 and no modulation will occur.

53

An example of a possible stage 747a of the control logic 747 is illustrated in Figure 5A.. In this embodiment of the transponder 400, there are four bits (e.g., bits 0..3, or 5 TRIM BITO, TRIM BIT1, TRIM BIT2, and TRIM BIT3) in the trimming bits register 436b which control the modulation index, one bit for each level shifter LS1..LS4. As can be seen from the illustrated circuit 747a, the NAND gate 749a will use the setting of the trim bit TRIM BITO (436b.0) to allow the PSK MOD signal to pass on to the level shifter LS1 if TRIM BIT0 is programmed high, or to prohibit the PSK MOD signal from 10 affecting the level shifter LS1 if TRIM BITO is programmed low. (When passed on, the PSK MOD signal will also be inverted by the NAND gate 749a, becoming the PSK MOD signal.) It can be seen that, with a similar set of control stages, the trimming bits 0..3 will determine which of the output stage transistor pairs M1/M5..M4/M8 are used to modulate the antenna system 710 according to the PSK MOD signal, thereby 15 programmably varying the modulation index.

Although the invention has been illustrated and described in detail in the drawings and foregoing description, the same is to be considered as illustrative and not restrictive in character, it being understood that only preferred embodiments have been shown and described, and that all changes and modifications that come within the spirit of the invention are desired to be protected. Undoubtedly, many other "variations" on the "themes" set forth hereinabove will occur to one having ordinary skill in the art to which the present invention most nearly pertains, and such variations are intended to be within the scope of the invention, as disclosed herein.

20

WO 00/70552

### **CLAIMS:**

5

10

15

20

25

1. An RF transponder (102, 200, 400) comprising an antenna system (210, 410, 710), characterized by:

54

- a programmable load (M1..M8) connected across the antenna system.
- 2. An RF transponder, according to claim 1, characterized in that the programmable load comprises:
  - a plurality of first transistors (M1..M4); a corresponding plurality of second transistors (M5..M8); and control logic (747) for connecting selected ones of the first transistors and selected ones of the second transistors to the antenna system.
  - An RF transponder, according to claim 2, characterized by: 3. an EEPROM (436, 436b) storing programmed settings for driving the control logic.
  - 4. An RF transponder, according to claim 1, characterized by: a power supply (Vxx) and level shifters (LS1..LS4) connected to the programmable load, wherein voltage changes in the power supply dynamically vary a magnitude of the programmable load according to power available in the transponder.
  - 5. An RF transponder, according to claim 1, characterized by: a gate (796) for disconnecting the programmable load from the antenna system in response to a reset signal.
  - 6. An RF transponder (102, 200, 400), comprising: an antenna system (210, 410, 710), characterized by: a dynamically variable load (700), comprising: a load (M1..M8) connected across the antenna system; and a power supply (Vxx) and level shifters (LS1..LS4) connected to the load, wherein voltage changes of the power supply dynamically vary the magnitude of the load according to power available in the transponder.
- 7. An RF transponder, according to claim 6, characterized in that: the dynamically variable load further comprises elements for programmable variation of the magnitude of the load on the antenna system, the elements comprising: 30 a plurality of first transistors (M1..M4);

5

10

20

25

**55** '

a corresponding plurality of second transistors (M5..M8); and control logic (747) for connecting selected ones of the first and second transistors to the antenna system.

- 8. An RF transponder, according to claim 7, characterized by:
  an EEPROM (436, 436b) storing programmed settings for driving the control logic.
- 9. An RF transponder, according to claim 6, characterized by: control logic (747) connected for modulating the dynamically variable load, wherein the control logic has a control signal input (PSK\_MOD, PSK\_MOD) formed in a gate (794) by logically combining a system clock signal (SYS\_CLK/2) and a data stream (DATA STREAM).
- 10. An RF transponder, according to claim 9, characterized by:
  a sync delay circuit (792) for delaying the system clock signal in order to synchronize the system clock signal with the data stream.
- 11. An RF transponder, according to claim 6, characterized by:

  a gate (796) interrupting connection of the load to the antenna system in response to a reset signal.
  - 12. An RF transponder (102, 200, 400), comprising:
    an antenna system (210, 410, 710); and
    circuitry (246, 446, 700) for applying modulation to an RF signal received by
    the antenna system, characterized by:

a modulation load (M1..M8) connected to the antenna system; control logic (747) for controlling the modulation load;

a first gate (794) providing a control signal (PSK\_MOD, PSK\_MOD) to the control logic, wherein the first gate logically combines a system clock signal (SYS\_CLK/2) and a data stream (DATA STREAM); and

a sync delay circuit (792) for delaying the system clock signal in order to synchronize the system clock signal with the data stream.

13. An RF transponder, according to claim 12, characterized by:
a second gate (796) interposed between the first gate and the control logic for disconnecting the modulation load from the antenna system in response to a reset signal.

PCT/US99/29827 WO 00/70552

5

10

15

20

25

14. Method for controlling RF signal modulation in a passive transponder which comprises an antenna system (210, 410, 710), circuitry (246, 446, 700) for applying modulation to an RF signal received by the antenna system, and circuitry (222, 422) for deriving transponder power from the received RF signal, characterized by:

56

providing a modulation load (M1..M8), which is connectable to the antenna system under the control (747) of a control signal (PSK MOD, PSK MOD) formed by logically combining (794) a system clock signal (SYS CLK/2) and a data stream (DATA STREAM); and

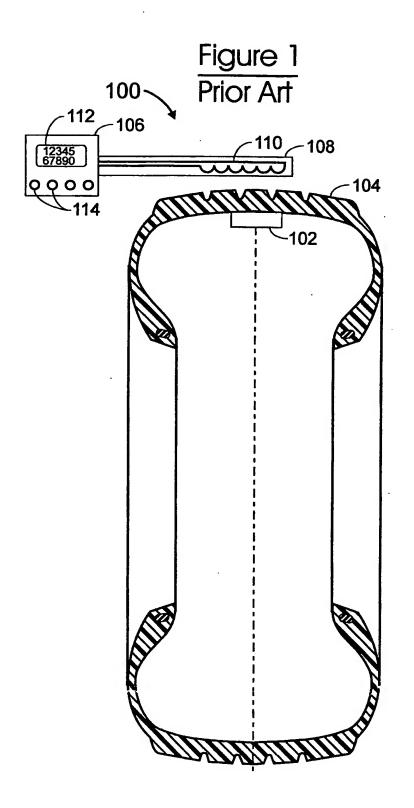
delaying (792) the system clock signal in order to synchronize the system clock signal with the data stream.

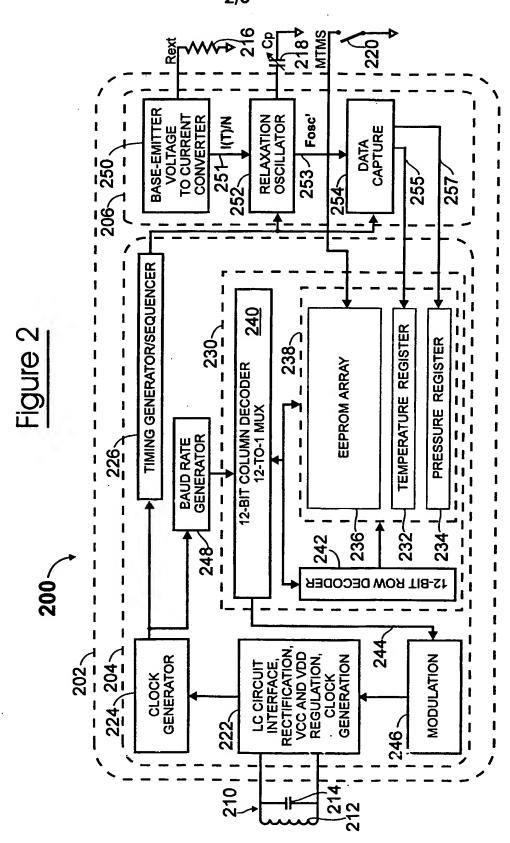
- Method, according to claim 14, characterized by: **15**. forming a phase-shift keyed type of control signal, for producing phase-shift keyed modulation of the RF signal received by the antenna system.
- 16. Method, according to claim 15, characterized in that: the phase-shift keyed control signal uses a system clock signal having half the frequency of the RF signal received by the antenna system; and the data stream is a signal clocked at a fraction of the frequency of the RF signal received by the antenna system.
  - **17**. Method, according to claim 16, characterized in that: the fraction is one-thirty-second (1/32)
- 18. Method, according to claim 14, characterized by: optimizing RF signal modulation performance by utilizing a modulation index having a magnitude which is adjusted according to programmed trim settings stored (436b) in the transponder.
- 19. Method, according to claim 18, characterized by: binary adjustment of the modulation index as determined by bits of the programmed trim settings.
- 20. Method, according to claim 14, characterized by: a modulation index having a magnitude which is adjusted dynamically in proportion to a power of the RF signal received by the antenna system. 30
  - 21. Method, according to claim 14, characterized by:

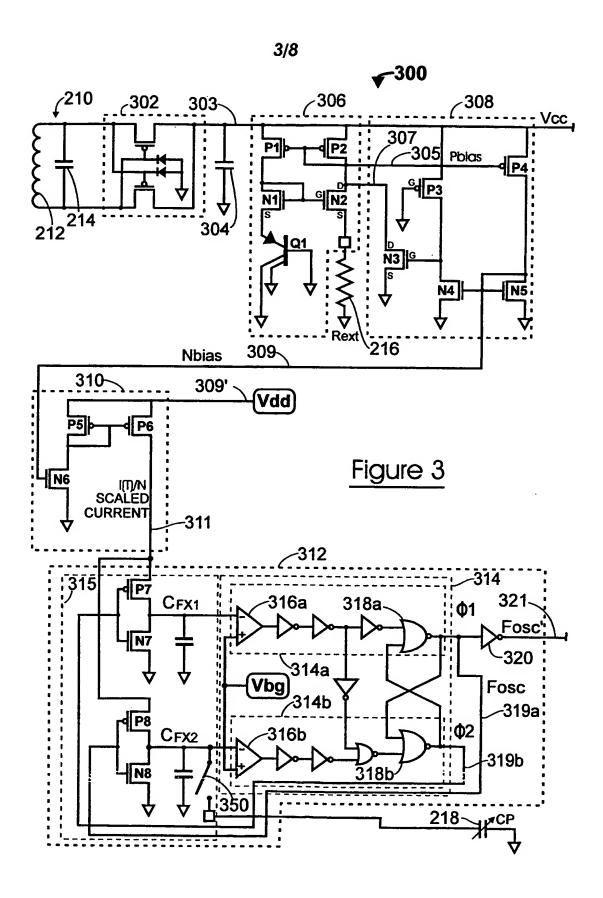
57

preventing RF signal modulation if the power derived from the RF signal received by the antenna system is too low to provide transponder power adequate for stable transponder operation including RF signal modulation.

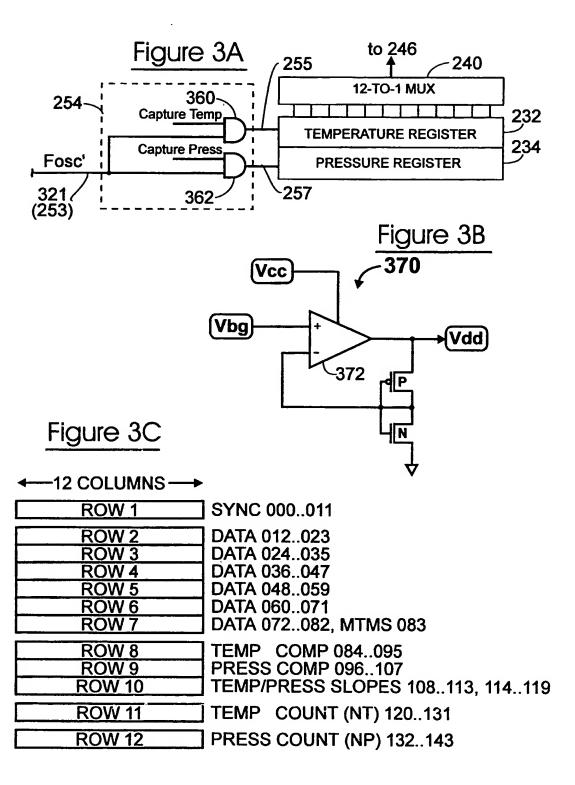
1/8

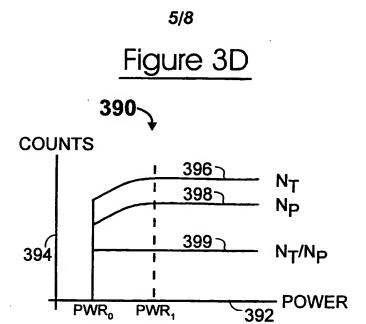


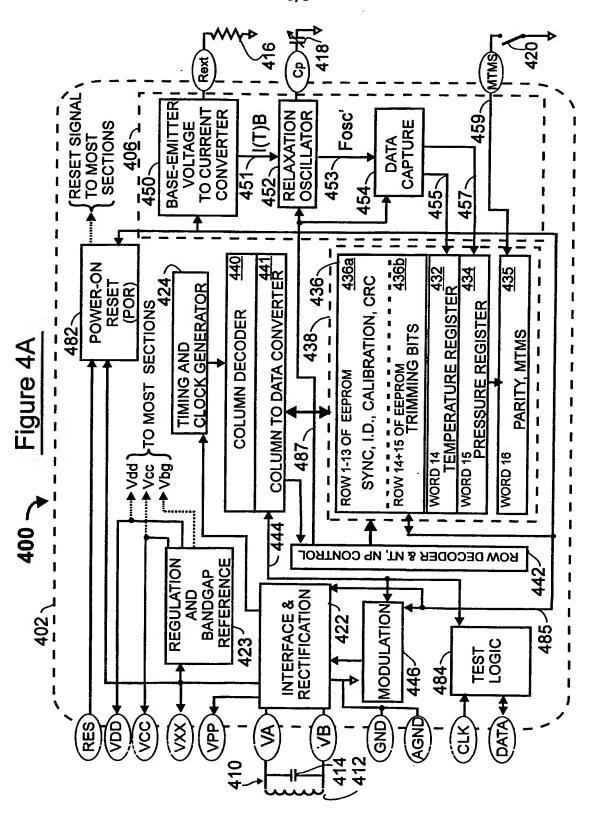




4/8



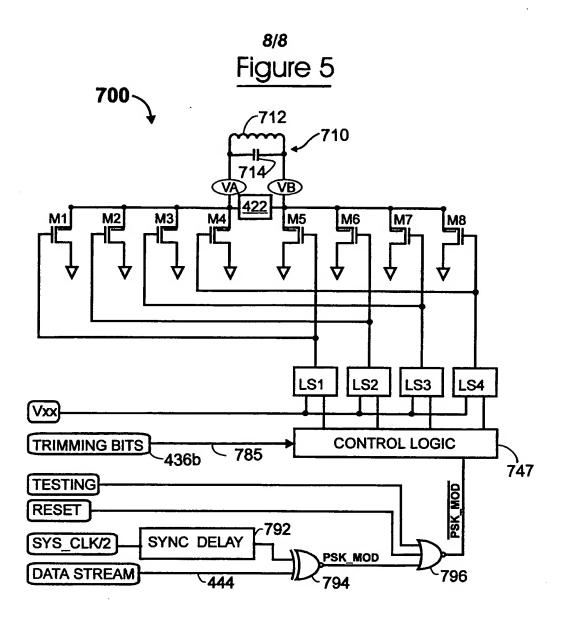


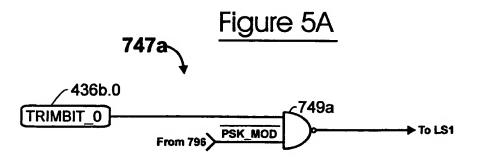


## *7*/8

# Figure 4B

<b>EEPROM LOCATION</b>	DATA STREAM	← 12-BIT WORDS→			
ROW 1	WORD 1	SYNC WORD (12)	12-BIT SYNC WORD		
ROW 2	WORD 2	ID (12)	)		
ROW 3	WORD 3	ID (12)	48 BITS		
ROW 4	WORD 4	ID (12)	OF USER ID		
ROW 5	WORD 5	ID (12)			
ROW 6	WORD 6	<b>TEMPERATURE CALIBRATION (12)</b>			
ROW 7	WORD 7	<b>TEMPERATURE CALIBRATION (12)</b>	TEMPERATURE & PRESSURE		
ROW 8	WORD 8	PRESSURE CALIBRATION (12)			
ROW 9	WORD 9	PRESSURE CALIBRATION (12)	CALIBRATION DATA		
<b>ROW 10</b>	WORD 10	CHIP ID (12)	)		
<b>ROW 11</b>	WORD 11	CHIP ID (12)	36 BITS OF TRANSPONDER		
<b>ROW 12</b>	WORD 12	CHIP ID (12)	CHIP ID		
<b>ROW 13</b>	WORD 13	CAL ID (4) CRC (8)	4-BIT CALIBRATION ID AND 8-BIT CRC		
	WORD 14	TEMPERATURE NT (12)	TEMPERATURE (NT) AND PRESSURE (NP) DATA		
	WORD 15	PRESSURE NP (12)	REGISTERS		
	WORD 16	OPEN (5),MTMS(1)NP, NT PARITY(6)	11111, MTMS STATUS, AND PARITY OF NP, NT DATA		
ROW 14		TRIMMING BITS (12)			
<b>ROW 15</b>		TRIMMING INVERSE BITS (12)	12-BIT TRIMMING REGISTER		





## INTERNATIONAL SEARCH REPORT

In ational Application No PCT/US 99/29827

T		<u></u>								
A. CLASSIFICATION OF SUBJECT MATTER IPC 7 G06K19/07										
According to International Patent Classification (IPC) or to both national classification and IPC										
B. FIELDS	B. FIELDS SEARCHED									
Minimum documentation searched (classification system followed by classification symbols)  IPC 7 G06K										
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched										
Electronic data base consulted during the international search (name of data base and, where practical, search terms used)										
	ENTS CONSIDERED TO BE RELEVANT									
Category °	Citation of document, with indication, where appropriate, of the rei	levant passages	Relevant to claim No.							
x	FR 2 764 977 A (STELLA) 24 December 1998 (1998-12-24)	1-3								
Y	page 5, line 26 -page 8, line 17		4,6-8							
Y	EP 0 583 690 A (TELEFUNKEN MICRO) 23 February 1994 (1994-02-23)	ELECTRON)	4,6-8							
Α	the whole document		9							
Α	EP 0 473 569 A (MIKRON GES FUER INTEGRIERTE MI) 4 March 1992 (199 page 4, line 27 -page 5, line 1; 1,2	10,12,14								
<u>ч</u>	her documents are listed in the continuation of box C.	X Patent family members are listed	in annex.							
"A" docume consid "E" earlier of filing d "L" docume which citation "O" docume other r "P" docume	ont which may throw doubts on priority claim(s) or is cited to establish the publication date of another in or other special reason (as specified) ent referring to an oral disclosure, use, exhibition or	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention invention.  "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone.  "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.  "A." document member of the same patent family								
	actual completion of the international search  O April 2000	Date of mailing of the international sea	erch report							
	nailing address of the ISA	11/05/2000 Authorized officer								
	European Patent Office, P.B. 5818 Patentiaan 2 NL – 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nt,	_	-							
	Fax: (+31-70) 340-3016	Degraeve, A								

## INTERNATIONAL SEARCH REPORT

Patent document cited in search report		Publication date	Patent family member(s)		Publication date  29-03-2000 23-12-1998
FR 2764977	977 A 24-12-1998 EP 0988511 A WO 9858238 A				
EP 0583690	A	23-02-1994	DE DE US	4227551 A 59308660 D 5418358 A	24-02-1994 16-07-1998 23-05-1995
EP 0473569	A	04-03-1992	AT DE JP JP US	395224 B 59105094 D 2683305 B 6152473 A 5345231 A	27-10-1992 11-05-1995 26-11-1997 31-05-1994 06-09-1994



PCT/US99/29827

PROGRAMMABLE MODULATION INDEX FOR TRANSPONDER

1

10

15

20

25

30

5

### TECHNICAL FIELD OF THE INVENTION

The present invention relates to monitoring pressure and temperature and, more particularly in conjunction with transponders associated with the tires for transmitting pressure and temperature measurements to an external (e.g., on-board) receiver (reader, or reader/interrogator).

### BACKGROUND OF THE INVENTION

Safe, efficient and economical operation of a motor vehicle depends, to a significant degree, on maintaining correct air pressure in all (each) of the tires of the motor vehicle. Operating the vehicle with low tire pressure may result in excessive tire wear, steering difficulties, poor road-handling, and poor gasoline mileage, all of which are exacerbated when the tire pressure goes to zero in the case of a "flat" tire.

The need to monitor tire pressure when the tire is in use is highlighted in the context of "run-flat" (driven deflated) tires, tires which are capable of being used in a completely deflated condition. Such run-flat tires, as disclosed for example in commonly-owned USP 5,368,082, incorporated in its entirety by reference herein, may incorporate reinforced sidewalls, mechanisms for securing the tire bead to the rim, and a non-pneumatic tire (donut) within the pneumatic tire to enable a driver to maintain control over the vehicle after a catastrophic pressure loss, and are evolving to the point where it is becoming less and less noticeable to the driver that the tire has become deflated. The broad purpose behind using run-flat tires is to enable a driver of a vehicle to continue driving on a deflated pneumatic tire for a limited distance (e.g., 50 miles, or 80 kilometers) prior to getting the tire repaired,

10

15

20

25

30

in a tire/wheel system. In the context of "passive" RF transponders which are powered by an external reader/interrogator, another problem is generating predictable and stable voltage levels within the transponder so that the circuitry within the transponder can perform to its design specification.

Suitable pressure transducers for use with a tire-mounted transponder include:

- (a) piezoelectric transducers;
- (b) piezoresistive devices, such as are disclosed in USP 3,893,228 (George, et al.; 1975) and in USP 4,317,216 (Gragg, Jr.; 1982);
- (c) silicon capacitive pressure transducers, such as are disclosed in USP 4,701,826 (Mikkor; 1987), USP 5,528,452 (Ko; 1996), USP 5,706,565 (Sparks, et al.; 1998), and PCT/US99/16140 (Ko, et al.; filed July 7, 1999);
  - (d) devices formed of a variable-conductive laminate of conductance ink; and
  - (e) devices formed of a variable-conductance elastomeric composition.

## THE EFFECT OF TEMPERATURE ON GAS PRESSURE

In a broad sense, for a mass of any gas in a state of thermal equilibrium, pressure P, temperature T, and volume V can readily be measured. For low enough values of the density, experiment shows that (1) for a given mass of gas held at a constant temperature, the pressure is inversely proportional to the volume (Boyle's law), and (2) for a given mass of gas held at a constant pressure, the volume is directly proportional to the temperature (law of Charles and Gay-Lussac). This leads to the "equation of state" of an ideal gas, or the "ideal gas law":

 $PV = \mu RT$ 

where:

 $\mu$  is the mass of the gas in moles; and

R is a constant associated with the gas.

Thus, for a contained (fixed) volume of gas, such as air contained within a pneumatic tire, an increase in temperature (T) will manifest itself as an increase in pressure (P).

Because of the ideal gas law relationship, it is recognized that in the context of pneumatic tires, one problem that arises during operation of tire pressure sensors of any kind is that tires neat up as they are run for longer periods of time. When a tire heats up, air

### SUMMARY OF THE INVENTION

5

10

15

20

25

30

According to an aspect of the invention, a radio-frequency (RF) transponder comprises circuitry capable of transmitting information unique to an object with which the transponder is associated to an external reader/interrogator. Additionally, one or more transponder sensors (transducers) provide real-time parameter measurement at the transducer location. These measurements are transmitted to the external reader/interrogator, in the form of data in a data stream, on a signal which is output by the transponder, such as by impressing (modulating) the data stream onto an RF signal transmitted by the transponder to the external reader/interrogator.

8

According to an aspect of the invention, typically two real-time parameters are measured: pressure and temperature. Pressure is preferably measured by a separate ("off-chip") pressure sensor, which is of a type that varies its capacitance value in a known way, such as a polynomial, or preferably as a substantially linear function of ambient pressure. Preferably, the temperature sensor is embedded ("on-chip") in the IC chip of the transponder and disposed so as to be subject to substantially the same ambient temperature as the pressure sensor so that a true, temperature-compensated pressure can readily be calculated.

The RF signal transmission is typically produced by modulating the RF signal received by a transponder antenna system. According to an aspect of the invention, the RF modulation is achieved by modulating a load across the transponder antenna system.

According to a feature of the invention, the magnitude of the modulation load is set according to programmable trim settings, stored in the transponder (e.g., in an EEPROM), which are set to optimize the signal modulation for the individual transponder properties and for the expected transponder operating conditions. This programmable load comprises a plurality of first transistors, a corresponding plurality of second transistors, and control logic for connecting selected ones of the first and second transistors to the antenna system. The transistors can be selected so that the variation is accomplished in binary steps.

According to a feature of the invention, a power supply and level shifters are connected to the modulating load, wherein voltage changes of the power supply dynamically vary the magnitude of the programmable load in proportion to power available in the transponder. Alternatively, the power supply and level shifters are connected to the programmable load, thereby combining the dynamic and the programmable load variation.

11

5

10

15

20

25

30

(radio frequency) transponder 102 disposed within (e.g., mounted to an inner surface of) a pneumatic tire 104. (An antenna, not shown, is mounted within the tire 104 and is connected to the transponder 102.) The transponder 102 is an electronic device, capable of transmitting an RF signal comprising unique identification (ID) information (e.g., its own serial number, or an identifying number of the object with which it is associated -in this example, the tire 104) as well as data indicative of a parameter measurement such as ambient pressure sensed by a sensor (not shown) associated with the transponder 102 to an external reader/interrogator 106. The external reader/interrogator 106 provides an RF signal for interrogating the transponder 102, and includes a wand 108 having an antenna 110, a display panel 112 for displaying information transmitted by/from the transponder 102, and controls (switches, buttons, knobs, etc.) 114 for a user to manipulate the functions of the reader/interrogator 106. Although shown as a hand-held device, the reader/interrogator may be an electronic unit mounted in a vehicle (not shown). The present invention is directed primarily to implementing an RF transponder.

As is known, the ID and/or parameter measurement information may be encoded (impressed) in a variety of ways on the signal transmitted by the transponder 102 to the reader/interrogator 106, and subsequently "de-coded" (retrieved) in the reader/interrogator 106 for display to the user. The RF transponder 102 may be "passive", in that it is powered by an RF signal generated by the external reader/interrogator 106 and emitted by the antenna 108. Alternatively, the RF transponder 102 may be "active", in that it is battery-powered. Transponder systems such as the transponder system 100 described herein are well known.

Commonly-owned, copending PCT Patent Application No. PCT/US98/07338 filed 14 April 1998 by Pollack, Brown, Black, and Yones, incorporated in its entirety by reference herein, discloses a transponder, particularly a "passive" transponder which derives its operating power from an external radio frequency (RF) source, and which is associated with a pneumatic tire for use in tire identification and transmission of pressure and/or temperature data.

The aforementioned patent application PCT/US98/07338 discloses a transponder which is a previous model (model number "3070C") of the transponder of the present invention. Since the present invention involves commonalities with, as well as

#### CLAIMS:

5

10

15

20

25

- 1. An RF transponder (102, 200, 400) comprising an antenna system (210, 410, 710), characterized by:
  - a programmable load (M1..M8) connected across the antenna system.
- 2. An RF transponder, according to claim 1, characterized in that the programmable load comprises:
- a plurality of first transistors (M1..M4);
  a corresponding plurality of second transistors (M5..M8); and
  control logic (747) for connecting selected ones of the first transistors and
  selected ones of the second transistors to the antenna system.
- 3. An RF transponder, according to claim 2, characterized by: an EEPROM (436, 436b) storing programmed settings for driving the control logic.
- 4. An RF transponder, according to claim 1, characterized by:
  a power supply (Vxx) and level shifters (LS1..LS4) connected to the
  programmable load, wherein voltage changes in the power supply dynamically vary a
  magnitude of the programmable load according to power available in the transponder.
- 5. An RF transponder, according to claim 1, characterized by:
  a gate (796) for disconnecting the programmable load from the antenna system in response to a reset signal.
- 6. An RF transponder (102, 200, 400), comprising:
  an antenna system (210, 410, 710), characterized by:
  a dynamically variable load (700), comprising:
  a load (M1..M8) connected across the antenna system; and
  a power supply (Vxx) and level shifters (LS1..LS4) connected to the load,
  wherein voltage changes of the power supply dynamically vary the magnitude of the load
  according to power available in the transponder.
- 7. An RF transponder, according to claim 6, characterized in that:
  the dynamically variable load further comprises elements for programmable
  variation of the magnitude of the load on the antenna system, the elements comprising:
  a plurality of first transistors (M1..M4);



5

10

15

20

25

12.

55

a corresponding plurality of second transistors (M5..M8); and control logic (747) for connecting selected ones of the first and second transistors to the antenna system.

- 8. An RF transponder, according to claim 7, characterized by: an EEPROM (436, 436b) storing programmed settings for driving the control logic.
- An RF transponder, according to claim 6, characterized by: 9. control logic (747) connected for modulating the dynamically variable load, wherein the control logic has a control signal input (PSK\_MOD, PSK\_MOD) formed in a gate (794) by logically combining a system clock signal (SYS\_CLK/2) and a data stream (DATA STREAM).
- 10. An RF transponder, according to claim 9, characterized by: a sync delay circuit (792) for delaying the system clock signal in order to synchronize the system clock signal with the data stream.
- 11. An RF transponder, according to claim 6, characterized by: a gate (796) interrupting connection of the load to the antenna system in response to a reset signal.
- An RF transponder (102, 200, 400), comprising: an antenna system (210, 410, 710); and circuitry (246, 446, 700) for applying modulation to an RF signal received by the antenna system, characterized by:

a modulation load (M1..M8) connected to the antenna system: control logic (747) for controlling the modulation load;

- a first gate (794) providing a control signal (PSK MOD, PSK MOD) to the control logic, wherein the first gate logically combines a system clock signal (SYS\_CLK/2) and a data stream (DATA STREAM); and
- a sync delay circuit (792) for delaying the system clock signal in order to synchronize the system clock signal with the data stream.
- 13. An RF transponder, according to claim 12, characterized by: a second gate (796) interposed between the first gate and the control logic for 30 disconnecting the modulation load from the antenna system in response to a reset signal.

5

10

15

20

25

30

	14.	Method for controlling RF signal modulation in a passive transponder which
compr	ises an	antenna system (210, 410, 710), circuitry (246, 446, 700) for applying
modul	ation to	an RF signal received by the antenna system, and circuitry (222, 422) for
derivir	ng trans	ponder power from the received RF signal, characterized by:

providing a modulation load (M1..M8), which is connectable to the antenna system under the control (747) of a control signal (PSK\_MOD, PSK\_MOD) formed by logically combining (794) a system clock signal (SYS\_CLK/2) and a data stream (DATA STREAM); and

delaying (792) the system clock signal in order to synchronize the system clock signal with the data stream.

- 15. Method, according to claim 14, characterized by:
  forming a phase-shift keyed type of control signal, for producing phase-shift keyed modulation of the RF signal received by the antenna system.
  - 16. Method, according to claim 15, characterized in that:
    the phase-shift keyed control signal uses a system clock signal having half the

the data stream is a signal clocked at a fraction of the frequency of the RF signal received by the antenna system.

17. Method, according to claim 16, characterized in that: the fraction is one-thirty-second (1/32)

frequency of the RF signal received by the antenna system; and

- 18. Method, according to claim 14, characterized by:
  optimizing RF signal modulation performance by utilizing a modulation
  index having a magnitude which is adjusted according to programmed trim settings stored
  (436b) in the transponder.
- 19. Method, according to claim 18, characterized by:
  binary adjustment of the modulation index as determined by bits of the programmed trim settings.
- 20. Method, according to claim 14, characterized by:

  a modulation index having a magnitude which is adjusted dynamically in proportion to a power of the RF signal received by the antenna system.
  - 21. Method, according to claim 14, characterized by:

WO 00/70552 PCT/US99/29827

preventing RF signal modulation if the power derived from the RF signal received by the antenna system is too low to provide transponder power adequate for stable transponder operation including RF signal modulation.

57



AUG 2 7 2001

GOODYEAR PATENT
TRACCY LPK DEPT.

From the

INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

To:

COHN, Howard M.
The Goodyear Tire and Rubber Comp.
c/o Robert W. Brown-Dept 823
1144 East Market Street
Akron, Ohio 44309-3531
ETATS-UNIS D'AMERIQUE

NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Rule 71.1)

Date of mailing

(day/month/year)

21.08.2001

Applicant's or agent's file reference

DN1999118PCT

PCT/US99/29827

International application No.

International filing date (day/month/year)

15/12/1999

Priority date (day/month/year)

IMPORTANT NOTIFICATION

17/05/1999

Applicant

THE GOODYEAR TIRE & RUBBER COMPANY et al.

- 1. The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary examination report and its annexes, if any, established on the international application.
- 2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
- 3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.

#### 4. REMINDER

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices) (Article 39(1)) (see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

Name and mailing address of the IPEA/

Authorized officer

BON, L

Tel.+49 89 2399-2961

<u>)</u>

European Patent Office D-80298 Munich

Tel. +49 89 2399 - 0 Tx: 523656 epmu d

Fax: +49 89 2399 - 4465

JB

#### From the INTERNATIONAL SEARCHING AUTHORITY

#### To:

The Goodyear Tire and Rubber Comp. c/o Robert W. Brown-Dept 823 Attn. COHN, Howard M.

NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL SEARCH REPORT OR THE DECLARATION

1144 East Market Street Akron, Ohio 44309-3531 UNITED STATES OF AMERICA	(PCT Rule 44.1)		
	Date of mailing (day/month/year) 11/05/2000		
Applicant's or agent's file reference DN1999118PCT	FOR FURTHER ACTION See paragraphs 1 and 4 below		
International application No. PCT/US 99/ 29827	International filing date (day/month/year) 15/12/1999		
THE GOODYEAR TIRE & RUBBER COMPANY et al			
1. X The applicant is hereby notified that the International Search Filing of amendments and statement under Article 19: The applicant is entitled, if he so wishes, to amend the claim When? The time limit for filing such amendments is normal International Search Report; however, for more detailed.	ns of the International Application (see Rule 46):		
Where? Directly to the International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Fascimile No.: (41–22) 740.14.35	5		
For more detailed instructions, see the notes on the acco	ompanying sheet.		
2. The applicant is hereby notified that no International Search Article 17(2)(a) to that effect is transmitted herewith.	h Report will be established and that the declaration under		
3. With regard to the protest against payment of (an) addition	onal fee(s) under Rule 40.2, the applicant is notified that:		
the protest together with the decision thereon has bee	on transmitted to the International Bureau together with the		

4. Further action(s): The applicant is reminded of the following:

Shortly after 18 months from the priority date, the international application will be published by the International Bureau. If the applicant wishes to avoid or postpone publication, a notice of withdrawal of the international application, or of the priority claim, must reach the International Bureau as provided in Rules 90bis.1 and 90bis.3, respectively, before the completion of the technical preparations for international publication.

no decision has been made yet on the protest; the applicant will be notified as soon as a decision is made.

Within 19 months from the priority date, a demand for international preliminary examination must be filed if the applicant wishes to postpone the entry into the national phase until 30 months from the priority date (in some Offices even later).

Within 20 months from the priority date, the applicant must perform the prescribed acts for entry into the national phase before all designated Offices which have not been elected in the demand or in a later election within 19 months from the priority date or could not be elected because they are not bound by Chapter II.

Name and mailing address of the International Searching Authority European Patent Office, P.B. 5818 Patentlaan 2

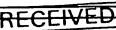
NL-2280 HV Rijswijk

Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,

Fax: (+31-70) 340-3016

Authorized officer

Marja Brouwers



MAY 18 2000

GOODYEAR PATENT & TRADEMARK DEPT

#### NOTES TO FORM PCT/ISA/220

These Notes are intended to give the basic instructions concerning the filing of amendments under article 19. The Notes are based on the requirements of the Patent Cooperation Treaty, the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and those requirements, the latter are applicable. For more detailed information, see also the PCT Applicant's Guide, a publication of WIPO.

In these Notes, "Article", "Rule", and "Section" refer to the provisions of the PCT, the PCT Regulations and the PCT Administrative Instructions respectively.

#### INSTRUCTIONS CONCERNING AMENDMENTS UNDER ARTICLE 19

The applicant has, after having received the international search report, one opportunity to amend the claims of the international application. It should however be emphasized that, since all parts of the international application (claims, description and drawings) may be amended during the international preliminary examination procedure, there is usually no need to file amendments of the claims under Article 19 except where, e.g. the applicant wants the fatter to be published for the purposes of provisional protection or has another reason for amending the claims before international policiation. Furthermore, it should be emphasized that provisional protection is available in some States only.

#### What parts of the international application may be amended?

Under Article 19, only the claims may be amended.

During the international phase, the claims may also be amended (or further amended) under Article 34 before the International Preliminary Examining Authority. The description and drawings may only be amended under Article 34 before the International Examining Authority.

Upon entry into the national phase, all parts of the international application may be amended under Article 28 or, where applicable, Article 41.

#### When?

Within 2 months from the date of transmittal of the international search report or 16 months from the priority date, whichever time limit expires later. It should be noted, however, that the amendments will be considered as having been received on time if they are received by the International Bureau after the expiration of the applicable time limit but before the completion of the technical preparations for international publication (Rule 46.1).

#### Where not to file the amendments?

The amendments may only be filed with the International Bureau and not with the receiving Office or the International Searching Authority (Rule 46.2).

Where a demand for international preliminary examination has been its filed, see below.

#### How?

Either by cancelling one or more entire claims, by adding one or more new claims or by amending the text of one or more of the claims as filed.

A replacement sheet must be submitted for each sheet of the claims which, on account of an amendment or amendments, differs from the sheet originally filed.

All the claims appearing on a replacement sheet must be numbered in Arabic numerals. Where a claim is cancelled, no renumbering of the other claims is required. In all cases where claims are renumbered, they must be renumbered consecutively (Administrative Instructions, Section 205(b)).

The amendments must be made in the language in which the international application is to be published.

#### What documents must/may accompany the amendments?

Letter (Section 205(b)):

The amendments must be submitted with a letter.

The letter will not be published with the international application and the amended claims. It should not be confused with the "Statement under Article 19(1)" (see below, under "Statement under Article 19(1)").

The letter must be in English or French, at the choice of the applicant. However, if the language of the international application is English, the letter must be in English; if the language of the international application is French, the letter must be in French.

#### NOTES TO FORM PCT/ISA/220 (continued)

The letter must indicate the differences between the claims as filed and the claims as amended. It must, in particular, indicate, in connection with each claim appearing in the international application (it being understood that identical indications concerning several claims may be grouped), whether

- (i) the claim is unchanged;
- (ii) the claim is cancelled;
- (iii) the claim is new:
- (iv) the claim replaces one or more claims as filed;
- (v) the claim is the result of the division of a claim as filed.

## The following examples illustrate the manner in which amendments must be explained in the accompanying letter:

- [Where originally there were 48 claims and after amendment of some claims there are 51]:
   "Claims 1 to 29, 31, 32, 34, 35, 37 to 48 replaced by amended claims bearing the same numbers; claims 30, 33 and 36 unchanged; new claims 49 to 51 added."
- [Where originally there were 15 claims and after amendment of all claims there are 11]:
   "Claims 1 to 15 replaced by amended claims 1 to 11."
- [Where originally there were 14 claims and the amendments consist in cancelling some claims and in adding new claims]:
   "Claims 1 to 6 and 14 unchanged; claims 7 to 13 cancelled; new claims 15, 16 and 17 added." or
   "Claims 7 to 13 cancelled; new claims 15, 16 and 17 added; all other claims unchanged."
- 4. [Where various kinds of amendments are made]: "Claims 1-10 unchanged; claims 11 to 13, 18 and 19 cancelled; claims 14, 15 and 16 replaced by amended claim 14; claim 17 subdivided into amended claims 15, 16 and 17; new claims 20 and 21 added."

#### "Statement under article 19(1)" (Rule 46.4)

The amendments may be accompanied by a statement explaining the amendments and indicating any impact that such amendments might have on the description and the drawings (which cannot be amended under Article 19(1)).

The statement will be published with the international application and the amended claims.

#### It must be in the language in which the international appplication is to be published.

It must be brief, not exceeding 500 words if in English or if translated into English.

It should not be confused with and does not replace the letter indicating the differences between the claims as filed and as amended. It must be filed on a separate sheet and must be identified as such by a heading, preferably by using the words "Statement under Article 19(1)."

It may not contain any disparaging comments on the international search report or the relevance of citations contained in that report. Reference to citations, relevant to a given claim, contained in the international search report may be made only in connection with an amendment of that claim.

#### Consequence if a demand for international preliminary examination has already been filed

If, at the time of filing any amendments under Article 19, a demand for international preliminary examination has already been submitted, the applicant must preferably, at the same time of filing the amendments with the International Bureau, also file a copy of such amendments with the International Preliminary Examining Authority (see Rule 62.2(a), first sentence).

#### Consequence with regard to translation of the international application for entry into the national phase

The applicant's attention is drawn to the fact that, where upon entry into the national phase, a translation of the claims as amended under Article 19 may have to be furnished to the designated/elected Offices, instead of, or in addition to, the translation of the claims as filed.

For further details on the requirements of each designated/elected Office, see Volume II of the PCT Applicant's Guide.

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference		of Transmittal of International Search Report 20) as well as, where applicable, item 5 below.					
DN1999118PCT	ACTION						
International application No.	International filing date (day/month/year)	(Earliest) Priority Date (day/month/year)					
PCT/US 99/29827	15/12/1999	17/05/1999					
Applicant							
THE GOODYEAR TIRE & RUBBE	R COMPANY et al.						
This International Search Report has bee according to Article 18. A copy is being to	n prepared by this International Searching Aut	nority and is transmitted to the applicant					
according to Aracie 16. A copy is being to	ansmitted to the international Edited.						
This International Search Report consists	of a total of sheets.						
X It is also accompanied by	a copy of each prior art document cited in this	report.					
Basis of the report							
	international search was carried out on the bas	sis of the international application in the					
	less otherwise indicated under this item.						
the international search was Authority (Rule 23.1(b)).	vas carried out on the basis of a translation of t	he international application furnished to this					
b. With regard to any nucleotide ar	nd/or amino acid sequence disclosed in the in	nternational application, the international search					
was carried out on the basis of th	e sequence listing : onal application in written form.						
	emational application in computer readable for	n.					
furnished subsequently to	this Authority in written form.						
	this Authority in computer readble form.						
the statement that the sui	bsequently fumished written sequence listing d as filed has been fumished.	loes not go beyond the disclosure in the					
the statement that the infi fumished	ormation recorded in computer readable form in	s identical to the written sequence listing has been					
2. Certain claims were fou	ind unsearchable (See Box I).						
3. Unity of invention is lac	king (see Box II).						
4. With regard to the title,  the text is approved as so	ubmitted by the applicant.						
	shed by this Authority to read as follows:						
5. With regard to the abstract,	shoulded by the confinent						
the text has been established	ubmitted by the applicant. shed, according to Rule 38.2(b), by this Author	ity as it appears in Box III. The applicant may,					
within one month from th	e date of mailing of this international search re	port, submit comments to this Authority.					
6. The figure of the drawings to be pub	lished with the abstract is Figure No.	5					
X as suggested by the app		None of the figures.					
because the applicant fai							
because this figure better characterizes the invention.							

PCT/OS 99/29827

A. CLASSI IPC 7	FICATION OF SUBJECT MATTER G06K19/07						
1107	GOOK1 97 07						
		u === upo					
	o International Patent Classification (IPC) or to both national classification	ation and IPC					
Minimum do	cumentation searched (classification system followed by classification	on symbols)					
IPC 7	G06K						
Documentat	tion searched other than minimum documentation to the extent that so	uch documents are included in the fields ea	earched				
Electronic d	ata base consulted during the international search (name of data base	se and, where practical, search terms used	)				
C. DOCUM	ENTS CONSIDERED TO BE RELEVANT						
Category *	Citation of document, with indication, where appropriate, of the rele	evant passages	Relevant to claim No.				
χ	FR 2 764 977 A (STELLA)		1-3				
	24 December 1998 (1998-12-24)	4.6.0					
Y	page 5, line 26 -page 8, line 17 	4,6-8					
Υ	EP 0 583 690 A (TELEFUNKEN MICROE 23 February 1994 (1994-02-23)	LECTRON)	4,6-8				
Α	the whole document		9				
A	 EP 0 473 569 A (MIKRON GES FUER		10,12,14				
	INTEGRIERTE MI) 4 March 1992 (1992-03-04)						
	page 4, line 27 -page 5, line 1;	figures					
			-				
Furti	her documents are listed in the continuation of box C.	Patent family members are listed	in annex.				
° Special ca	tegories of cited documents :	"T" later document published after the inte					
	ent defining the general state of the art which is not lered to be of particular relevance	or priority date and not in conflict with cited to understand the principle or the invention					
"E" earlier o	document but published on or after the international	"X" document of particular relevance; the c					
"L" docume	filing date  cannot be considered novel or cannot be considered to  cannot be considered novel or cannot be considered to  involve an inventive step when the document is taken alone						
citatio	n or other special reason (as specified)	"Y" document of particular relevance; the c cannot be considered to involve an in-	ventive step when the				
other	ent referring to an oral disclosure, use, exhibition or means	document is combined with one or mo ments, such combination being obvious in the art.					
	ent published prior to the international filing date but nan the priority date claimed	*&* document member of the same patent	family				
Date of the	actual completion of the international search	Date of mailing of the international sea	arch report				
2	0 April 2000	11/05/2000					
Name and r	mailing address of the ISA	Authorized officer					
	European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel (231 70) 340, 3040 Tx 31,651 app pl						
1	Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,	Degraeve, A					

Iraormat

patent family members

Application No PCT 99/29827

Patent document cited in search report		Publication date	Patent family member(s)		Publication date	
FR 2764977	Α	24-12-1998	EP WO	0988511 A 9858238 A	29-03-2000 23-12-1998	
EP 0583690	Α	23-02-1994	DE DE US	4227551 A 59308660 D 5418358 A	24-02-1994 16-07-1998 23-05-1995	
EP 0473569	A	04-03-1992	AT DE JP JP US	395224 B 59105094 D 2683305 B 6152473 A 5345231 A	27-10-1992 11-05-1995 26-11-1997 31-05-1994 06-09-1994	

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference DN1999118PCT	FOR FURTHER see Notification (Form PCT/ISA/	of Transmittal of International Search Report 220) as well as, where applicable, item 5 below.
International application No.	International filing date (day/month/year)	(Earliest) Priority Date (day/month/year)
PCT/US 99/29827	15/12/1999	17/05/1999
Applicant		
THE GOODYEAR TIRE & RUBBE	R COMPANY et al.	
This International Search Report has bee according to Article 18. A copy is being to	n prepared by this International Searching Au ansmitted to the International Bureau.	thority and is transmitted to the applicant
This International Search Report consists    X	of a total of sheets. a copy of each prior art document cited in this	s report.
Basis of the report		
	international search was carried out on the baless otherwise indicated under this item.	asis of the international application in the
the international search was Authority (Rule 23.1(b)).	vas carried out on the basis of a translation of	the international application furnished to this
was carried out on the basis of th	e sequence listing:	international application, the international search
	onal application in written form.	m
	emational application in computer readable for this Authority in written form.	
	o this Authority in computer readble form.	
the statement that the su	bsequently furnished written sequence listing as filed has been furnished.	does not go beyond the disclosure in the
		is identical to the written sequence listing has been
2. Certain claims were fou	nd unsearchable (See Box I).	
3. Unity of invention is lac	king (see Box II).	
4. With regard to the title,		
the text is approved as su	ubmitted by the applicant.	
the text has been establis	shed by this Authority to read as follows:	
5. With regard to the abstract,		
X the text is approved as so	ubmitted by the applicant.	
	shed, according to Rule 38.2(b), by this Authore date of mailing of this international search re	rity as it appears in Box III. The applicant may, aport, submit comments to this Authority.
6. The figure of the drawings to be pub	lished with the abstract is Figure No.	5
as suggested by the app		None of the figures.
because the applicant fai		
because this figure better	r characterizes the invention.	



			10 337	23027				
A. CLASSIFICATION OF SUBJECT MATTER PPC 7 • G06K19/07								
According to	International Patent Classification (IPC) or to both national classificat	ion and IPC						
B. FIELDS	SEARCHED							
Minimum do IPC 7	Minimum documentation searched (classification system followed by classification symbols) IPC 7 G06K							
Documentati	ion searched other than minimum documentation to the extent that eu	ch documents are incli	uded in the fields sea	arched				
Electronic da	ata base consulted during the international search (name of data base	e and, where practical	l, search terms used)					
C. DOCUME	ENTS CONSIDERED TO BE RELEVANT							
Category °	Citation of document, with indication, where appropriate, of the rele	vant passages		Relevant to claim No.				
X	FR 2 764 977 A (STELLA) 24 December 1998 (1998-12-24)			1-3				
Y	page 5, line 26 -page 8, line 17	4,6-8						
Υ	EP 0 583 690 A (TELEFUNKEN MICROE 23 February 1994 (1994-02-23)	4,6-8						
Α	the whole document			9				
Α .	EP 0 473 569 A (MIKRON GES FUER INTEGRIERTE MI) 4 March 1992 (199 page 4, line 27 -page 5, line 1; 1,2	figures	·	10,12,14				
	ner documents are listed in the continuation of box C.	X Patent family	members are listed i	n annex.				
"A" document defining the general state of the art which is not considered to be of particular relevance  "E" earlier document but published on or after the international filing date  "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)  "O" document referring to an oral disclosure, use, exhibition or other means  "P" document published prior to the international filing date but later than the priority date claimed  Date of the actual completion of the international search  "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention  "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.  "&" document member of the same patent family  Date of the actual completion of the international search  Date of mailing of the international search report								
	20 April 2000 11/05/2000  Name and mailing address of the ISA  European Patent Office, P.B. 5818 Patentlaan 2  NL - 2280 HV Rijswijk  Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016  Degraeve, A							

In ational Application No PCT/L 9/29827

A. CLASS	GIFICATION OF SUBJECT MATTER G06K19/07						
According	to International Patent Classification (IPC) or to both national class	ification and IPC					
B. FIELDS	SEARCHED		· · · · · · · · · · · · · · · · · · ·				
IPC 7	documentation searched (classification system followed by classific $G06K$	cation symbols)					
Documenta	ation searched other than minimum documentation to the extent the	at such documents are included in the fields s	earched				
Electronic	data base consulted during the international search (name of data	base and, where practical, search terms used	1)				
C DOCUM	ENTS CONCIDENTS TO DE TO						
Category °	Citation of document, with indication, where appropriate, of the	relevant naceagae					
	which appropriate, of the	reievain passages	Relevant to claim No.				
X	FR 2 764 977 A (STELLA)		1-3				
Υ	24 December 1998 (1998-12-24) page 5, line 26 -page 8, line 1	1.6-0					
V		4,6-8					
Y	EP 0 583 690 A (TELEFUNKEN MICRO 23 February 1994 (1994-02-23)	4,6-8					
Α	the whole document	9					
Α	EP 0 473 569 A (MIKRON GES FUER		10,12,14				
	INTEGRIERTE MI) 4 March 1992 (19	10,12,14					
	page 4, line 27 -page 5, line 1 1,2	; figures					
	·						
	·						
			;				
<u> </u>	ner documents are listed in the continuation of box C.	X Patent family members are listed in	n annex.				
	tegories of cited documents :	"T" later document published after the inter	national filing date				
conside	ont defining the general state of the art which is not ered to be of particular relevance	or priority date and not in conflict with a cited to understand the principle or the invention	the application but				
tiling a	earlier document but published on or after the international filling date "X" document of particular relevance; the claimed invention						
Which a	which is cited to establish the publication date of another citation or other special reason (as specified)  "Y" document of particular relevance; the claimed invention						
"O" docume other n	O" document referring to an oral disclosure, use, exhibition or other means  cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled						
"P" docume later th	nt published prior to the international filing date but an the priority date claimed	in the art. "&" document member of the same patent for					
Date of the a	actual completion of the international search	Date of mailing of the international seal					
20	) April 2000	11/05/2000					
Name and m	nailing address of the ISA  European Patent Office, P.B. 5818 Patentlaan 2	Authorized officer					
	NL – 2280 HV Rijswijk Tel. (+31–70) 340–2040, Tx. 31 651 epo ni,						
	Fax: (+31-70) 340-3016	Degraeve, A					

1

Information patent family members

International Application No
PC 99/29827

Patent document cited in search report				Patent family member(s)	Publication date
FR 2764977	Α	24-12-1998	EP WO	0988511 A 9858238 A	29-03-2000 23-12-1998
EP 0583690	A	23-02-1994	DE DE US	4227551 A 59308660 D 5418358 A	24-02-1994 16-07-1998 23-05-1995
EP 0473569	A	04-03-1992	AT DE JP JP US	395224 B 59105094 D 2683305 B 6152473 A 5345231 A	27-10-1992 11-05-1995 26-11-1997 31-05-1994 06-09-1994

Im ational Application No PCT/ 9/29827

Patent document cited in search report		Publication date	Patent family member(s)		Publication date
FR 2764977	Α	24-12-1998	EP WO	0988511 A 9858238 A	29-03-2000 23-12-1998
EP 0583690	Α	23-02-1994	DE DE US	4227551 A 59308660 D 5418358 A	24-02-1994 16-07-1998 23-05-1995
EP 0473569	Α	04-03-1992	AT DE JP JP US	395224 B 59105094 D 2683305 B 6152473 A 5345231 A	27-10-1992 11-05-1995 26-11-1997 31-05-1994 06-09-1994



# **PCT**

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's	or agent's	s file reference	FOR FURTHER AC		ification of Transmittal of Internati		
DN1999	118PCT	-	FOR FURTHER AC	,			
Internation	al applicat	ion No.	International filing date (	te (day/month/year) Priority date (day/month/year)			
PCT/US	99/2982	7	15/12/1999	17/05/1999			
Internationa G06K19/		Classification (IPC) or na	tional classification and IPC				
Applicant					- ()		
THE GO	ODYEA	R TIRE & RUBBER	COMPANY et al.				
1. This i	nternations transm	onal preliminary exami itted to the applicant a	nation report has been according to Article 36.	prepared by this Ir	nternational Preliminary Exan	nining Authority	
2. This	REPORT	consists of a total of	9 sheets, including this	cover sheet.			
b (:	een ame see Rule	ended and are the bas 70.16 and Section 60	sis for this report and/or 07 of the Administrative	sheets containing	tion, claims and/or drawings rectifications made before the PCT).		
These	e annexe	es consist of a total of	11 sheets.				
3. This	report co	ntains indications rela	ting to the following iter	ns:			
- 1	⊠в	asis of the report					
П	□Р	riority					
111	□N	on-establishment of o	pinion with regard to no	velty, inventive ste	ep and industrial applicability		
IV		ack of unity of inventio	on				
V			nder Article 35(2) with re		nventive step or industrial app	olicability;	
VI	_	ertain documents cité			•		
VII		•	nternational application				
VIII			the international applic	cation			
Date of sub	omission (	of the demand		Date of completion	of this report		
28/09/20	000			21.08.2001			
		ddress of the internationa	1	Authorized officer		STOPES MATERILIAN	
<u></u>	Europe D-8029	an Patent Office 8 Munich	Names of	Hauser-Schmie	eg, M	(Lances)	
<del></del>		9 89 2399 - 0  Tx: 523656 19 89 2399 - 4465	э ерти и	Telephone No. +49	89 2399 8478	EON'S TOWN . STATE IT.	

## INTERNATIONAL PRELIMINARY **EXAMINATION REPORT**

International application No. PCT/US99/29827

l. Basis	of	the	re	port
----------	----	-----	----	------

I.	Basis of the report									
1.	With regard to the <b>elements</b> of the international application (Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17)): <b>Description</b> , pages:									
	2-4, 12-	6,7,9,10, 53	as originally filed							
	1,1 <i>/</i> 11	4,5,7A-7B,8,	as received on	01/07/2001	with letter of	10/05/2001				
	Claims, No.:									
	1-2	1	as received on	01/07/2001	with letter of	10/05/2001				
	Dra	wings, sheets:								
	1/8-	8/8	as originally filed							
					·					
2.	With regard to the <b>language</b> , all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.									
	These elements were available or furnished to this Authority in the following language: , which is:									
		the language of a	translation furnished for the pur	poses of the i	nternational search (u	ınder Rule 23.1(b)).				
		the language of p	ublication of the international ap	plication (und	er Rule 48.3(b)).					
		the language of a 55.2 and/or 55.3).	translation furnished for the pur	poses of inter	national preliminary e	xamination (under Rule				
3.	. With regard to any <b>nucleotide and/or amino acid sequence</b> disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:									
	☐ contained in the international application in written form.									
	filed together with the international application in computer readable form.									
	☐ furnished subsequently to this Authority in written form.									
	furnished subsequently to this Authority in computer readable form.									
	☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.									
		The statement tha	et the information recorded in co	mouter reada	ble form is identical to	the written sequence				

listing has been furnished.

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/US99/29827

4.	The	amendments have re	esulted in the cancellation of:			
		the description,	pages:			
٠		the claims,	Nos.:			
		the drawings,	sheets:			
5.	Ø	This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):				
		(Any replacement sh report.) see separate sheet	neet containing such amendments must be referred to under item 1 and annexed to this			
6.	Add	litional observations, i	f necessary:			

- •
- V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- 1. Statement

Novelty (N) Yes: Claim

es: Claims 2-3, 7-8, 10-21

No: Claims 1, 4-6, 9

Inventive step (IS) Yes: Claims 10, 12-21

No: Claims 2-3, 7-8, 11

Industrial applicability (IA) Yes: Claims 1-21

No: Claims

2. Citations and explanations see separate sheet

#### VII. Certain defects in the international application

The following defects in the form or contents of the international application have been noted: see separate sheet

#### VIII. Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made: see separate sheet

### To Chapter I.5

### 1.5. Disclosure of the Amendments in the Application Documents as originally filed

The amendments filed with letter of 10.05.2001 introduce subject-matter which extends beyond the content of the application as filed, contrary to Article 34 (2) (b) PCT; further reference is made to the International Preliminary Examination Guidelines, part IV, chapter VI, paragraphs 7.9 and 7.13.

The amendments concerned are the following:

the replacement of (... connected) across (the antenna ...) by (... connected) to (the antenna ...) in claims 1 and 6 ("to" is more general than "across);

#### To Chapter V.2.

#### V.2.1 Claims 1-5 related to a RF transponder

#### V.2.1.1. Independent Claim 1

Claim 1 is still formulated so broadly that the subject-matter of the state of the art documents mentioned in the International Search Report, e.g. FR 2 764 977 A (document D1), can be read on its subject-matter; D1 shows (see figure 1) and describes on page 5, line 26, to page 6, line 5:

a RF transponder (1, "étiquette électronique") comprising an antenna system (11), the transponder having a programmable load (the microprocessor (13) in combination with the Electrically Erasable and Programmable Read Only Memory, EEPROM, (14) is part of and constitutes the programmable load of the antenna coil) connected across (or to) the antenna system (11) for transmission modulation ("L'unité centrale 13 assure les opérations classiques de ... modulation de la charge de la bobine (d'antenne) 11 pour l'émission de données au milieu extérieur ..." --> the central processing unit (13) assures the classic processing of ... modulation of the load of the (antenna) coil (11) for the emission of data to the exterior medium).

Therefore, the present application does not satisfy the criterion set forth in Article 33 (2) PCT because the subject-matter of independent claim 1 is not new in respect of prior art as defined in the regulations (Rule 64 (1)-(3) PCT).

Further corresponding transponders are part of the chip-card of EP 0 583 690 A2 (document D2) and of the contact-less inductive data-transmission system according to EP 0 473 569 A2 (document D3), see abstracts + figures 2 of both, the the latter having an inductive or resistive load, see claim 5.

#### V.2.1.2. Claims 2-5 depending on Claim 1

Claims 2-5 depending on claim 1 and having as subject-matter special embodiments of the invention according to claim 1 do not fulfil the provisions of the PCT (Art. 33 and Rule 6 PCT) since their validity is dependent on that of claim 1, which has been denied.

Further, the features of the following claims do not add new features or anything of inventive significance (in the sense of Arts. 33 (2) or (3) PCT) to the subject-matter of claim 1, the features being -at least per se - known from the documents listed below:

- claims 2-4:

D2, see abstract and description, column 1, lines 11-19, 22-25, 34-38,

43-45, and 51 to column 2, line 1, lines 4-8, 9-11, 21-23, and 55 to column 3, line 10, column 4, line 50 to column 5, line 16 and lines 27-

51;

- claim 3:

D1, page 5, line 33; D2, column 1, line 15; D3, the sentence linking

pages 4 and 5.

- claim 5:

D3, figure 2, "reset generator" (13).

#### V.2.2. Claims 6-11 related to a RF Transponder

#### V.2.2.1 Independent Claim 6

Document D2 shows (see figures) and describes (see abstract and description, column 1, lines 11-19, 22-25, 34-38, 43-45, and 51 to column 2, line 1, lines 4-8, 9-11, 21-23, and 55 to column 3, line 10, column 4, line 50 to column 5, line 16 and lines 27-51):

a RF transponder, comprising: an antenna system (1),

## **EXAMINATION REPORT - SEPARATE SHEET**

a dynamically variable load (T1, R1; 2, 3), comprising:

a load connected across (to) the antenna-system for transmission modulation; and

a power supply and level shifters connected to the load,

wherein voltage changes of the power supply dynamically vary the magnitude of the load according to power available In the transponder (remark: either the resistor (R1) is switched to the antenna by the transistor (T1) for the purpose of sending of information to a read-write device or the EEPROM programming circuit (requiring higher energy) is connected to the antenna via the send / receive stage (2)).

Therefore, the present application does not satisfy the criterion set forth in Article 33 (2) PCT because the subject-matter of independent claim 6 is not new in respect of prior art as defined in the regulations (Rule 64 (1)-(3) PCT).

#### V.2.2.2. Claims 7-11 depending on Claim 6

Claims 7-11 depending on claim 6 and having as subject-matter special embodiments of the invention according to claim 6 do not fulfil the provisions of the PCT (Art. 33 and Rule 6 PCT) since their validity is dependent on that of claim 6, which has been denied.

Further, the features of the following claims do not add new features or anything of inventive significance (in the sense of Arts. 33 (2) or (3) PCT) to the subject-matter of claim 6, the features being -at least per se - known from the documents listed below:

- claims 7-9:

D2, see the references cited above;

- claim 11:

D3, reset generator (13) of fig. 2.

#### V.2.3. Claims 12-13 related to a RF Transponder

#### V.2.3.1. Novelty of Claim 12

D3 discloses (see especially figure 2):

an RF transponder (3), comprising: an antenna system (10); and

## **EXAMINATION REPORT - SEPARATE SHEET**

circuitry (18) for applying modulation to an RF signal received by the antenna system (10),

the transponder having:

a modulation load (see claim 5) connected to the antenna system; control logic (16) for controlling the modulation load.

The subject-matter of claim 12 differs therefrom in additionally having:

a first gate providing a control signal to the control logic, wherein the first gate logically combines a system clock signal and a data stream; and a sync delay circuit for delaying the system clock signal in order to synchronize the system clock signal with the data stream.

Therefore, the subject-matter of the present claim 12 seems to fulfil the provisions of Art. 33 (2) PCT (Novelty) in view of the state of the art as mentioned in the search report.

### V.2.3.2. Inventive Step

The problem to be solved by the subject-matter of the present invention as defined in claim 11 may therefore be regarded as to provide a retransmission of data from a transponder in which data and a clock signal (comment: derived from an interrogation signal) are well synchronised.

Whereas it is well-known in the art to derive in a transponder a clock signal from the interrogater (see D3, figure 2 and page 4, lines 49-50 and page 5, lines 4 to 7), the state of the art is silent with respect to data streams, their clocking and synchronisation with the transmission clock signal. Consequently, the person skilled in the art gets no hint from the state of the art for the solution as claimed.

Therefore the present claim 12 seems to fulfil the provisions of Art 33 (3) PCT.

## V.2.3.3. Dependent Claim 13

Claim 13 depending on claim 12 and having as subject-matter special and advantageous embodiments of the invention according to claim 12 seems, together **EXAMINATION REPORT - SEPARATE SHEET** 

with its subject-matter, to fulfil the provisions of Art. 33 (2) and (3) and Rule 6 PCT.

#### V.2.4. Method Claims 14-21

The same which has just been mentioned with respect to independent claim 12 seems also to be valid for the corresponding data transmission method defined in claim 14 corresponding substantially to the subject-matter of independent claim 12; consequently, claim 14 seems to fulfill the provisions of Article 33 (2) and (3) PCT.

In the same sense, claims 15-21 depending on claim 14 and having as subject-matter special and advantageous embodiments of the invention according to claim 14 seem, together with its subject-matter, to fulfil the provisions of Art. 33 (2) and (3) and Rule 6 PCT.

#### V.2.5. Industrial Applicability

The claims seem to fulfil the provisions of Art. 33 (4) PCT, because corresponding transponders / data transmission methods can be produced / realised and used - at least in the transponder industry.

#### To Chapter VII.

#### VII.1 In the Claims

The independent claims are not cast properly in the two part form, with those features which in combination are part of the closest prior art (see the respective paragraphs of Chapter V.2. above) being placed in the preamble; consequently, they do not meet the requirements of Rule 6.3 (b) PCT.

#### VII.2 In the Description

Not all PCT-application numbers on pages 1, 5, 11,... have been replaced by the respective PCT-publication numbers (Rule 5.1 (a) (ii) PCT).

# INTERNATIONAL PRELIMINARY

International application No. PCT/US99/29827

**EXAMINATION REPORT - SEPARATE SHEET** 

### To Chapter VIII.

## VIII.1. Clarity and Conciseness of the Claims

The claims do not fulfill the provisions of Article 6 PCT with respect to clarity and conciseness; in the independent claims, the load is not defined, especially in view of its purpose (--> for varying a modulation index???) or constitution - "a programmable load" may even be a simple microprocessor or memory of a transponder or a simple fusible link or grid array or dip switch.

The number of the independent claims are not kept at a minimum, with dependent claims as appropriate (conciseness of the claims, Article 6 PCT and Rules 6.1 (a) and 6.4 PCT).



To:

#### From the INTERNATIONAL BUREAU

#### **PCT**

#### **NOTIFICATION OF ELECTION**

(PCT Rule 61.2)

YONES, Dale, Lee

Commissioner
US Department of Commerce
United States Patent and Trademark
Office, PCT
2011 South Clark Place Room
CP2/5C24
Arlington, VA 22202

Date of mailing (day/month/year)

18 December 2000 (18.12.00)

International application No.
PCT/US99/29827

International filing date (day/month/year)
15 December 1999 (15.12.99)

Applicant

ETATS-UNIS D'AMERIQUE
in its capacity as elected Office

Applicant's or agent's file reference
DN1999118PCT

Priority date (day/month/year)
17 May 1999 (17.05.99)

1.	The designated Office is hereby notified of its election made:
	X in the demand filed with the International Preliminary Examining Authority on:
	28 September 2000 (28.09.00)
	in a notice effecting later election filed with the International Bureau on:
2.	The election X was
	was not
	made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland

**Authorized officer** 

F. Baechler

Telephone No.: (41-22) 338.83.38

Form PCT/IB/331 (July 1992)

Facsimile No.: (41-22) 740.14.35

US9929827